Neurobiology HMS 130/230 Harvard / GSAS 78454

Visual object recognition: From computational and biological mechanisms

Lecturer: Carlos R. Ponce, M.D., Ph.D.

Postdoctoral research fellow Margaret Livingstone Lab, Harvard Medical School Center for Brains, Minds and Machines, MIT

crponce@gmail.com

Today's meeting: Early Steps into Inferotemporal Cortex

<u>Agenda</u>

Today's theme: inferotemporal cortex (IT), a key locus for visual object recognition

1. What is IT?

- a brief review of the ventral stream and how IT fits in it

2. What do IT neurons do?

- selectivity

3. How well do **IT** neurons do their job? - the problem of <u>invariance</u>

4. Some unresolved questions in $\ensuremath{\text{IT}}$

5. Segue into the paper: how do we understand **IT** neurons at the population level?

1. What is inferotemporal cortex (IT)?

There are over 30 visual areas in the brain of the macaque



IT is the **last** exclusively visual area of the **ventral stream**, following areas V2 and V4



How do we organize these ventral stream areas into a hierarchy?

a. Select a cortical area (say, posterior IT)



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b. Inject a retrograde tracer

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b. Inject a retrograde tracer





Neurons in many areas take up the tracer

a. Select a cortical area (say, posterior IT)



b. Inject a retrograde tracer





- count the number of labeled cells in the dorsal layers

- count the number of labeled cells in the ventral layers





- sort areas by the ratio (# cells in dorsal layers / # cells in ventral layers)

the results in a consistent rank of cortical areas across individuals (and species)







Historically, this hierarchy has been described as the "ventral stream" (Ungerleider and Mishkin, 1982)



But if all these areas are so highly interconnected, how are they a "stream?"

IT depends on some regions more than others

how we know



say you find two visual regions at approximately the same hierarchical level

answer: count the total number of cells labeled for every injection!

Markov and others (2013) defined the relative weights from cortical area to cortical area



By applying weights to these connections, we can better understand the "chain of command"



Because IT depends more on V4 than in other regions, we can think of IT as part of a "stream"

$$V2 \rightarrow V4 \rightarrow PIT \rightarrow AIT$$

Once we get a hold of this primary pathway, we'll bring in the rest!

$$V2 \rightarrow V4 \rightarrow PIT \rightarrow AIT$$

depends

$$V2 \rightarrow V4 \rightarrow PIT \rightarrow AIT$$

IT "depends" on V4 for what?

2. What do IT neurons do? - selectivity in IT IT neurons respond to ("prefer") **complex** images

Pictures and drawings of natural images

1984: Desimone, Albright, Gross and Bruce



2005 - Hung, Kreiman, Poggio and DiCarlo



2007: Kiani, Esteky, Mirpour and Tanaka



Parametrically defined objects ("curvature")

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2006: Connor and others

1995: Logothetis, Pauls and Poggio

How do we know what a cell "prefers"?

We count spikes.



Imagine we've identified an IT neuron's RF

During rest, the unit may fire ~ 6 spikes per s

When we flash an image in the RF





We look for changes in the spike rate



To control for random changes in spike rate, we repeat the presentation multiple times





If we count the number of spikes in a time bin (say, 25 ms)







IT cells emit different numbers of spikes and show different PSTH profiles in response to different images...



STIMULUS-SELECTIVE PROPERTIES OF INFERIOR TEMPORAL NEURONS IN THE MACAQUE¹

ROBERT DESIMONE,*.2 THOMAS D. ALBRIGHT,‡ CHARLES G. GROSS,‡ AND CHARLES BRUCE§

PSTH shape can show when different types of preferences are expressed by the neuron



Global and fine informatic.. coded by single neurons in the temporal visual cortex

Yasuko Sugase*†‡§, Shigeru Yamane*, Shoogo Ueno‡ & Kenji Kawano* PSTHs also show that IT neurons prefer more complex images depending on their position in the temporal lobe



They stimulated neurons using complex and simple images



IT cells closer to V1 (more posterior) prefer simpler features.



IT cells closer to V1 (more posterior) have smaller receptive fields.





IT RFs frequently include the fovea, and may extend to the contralateral hemifield.

IT cells also change in their <u>retinotopy</u>

Retinotopy: when cells which are physically near one another in the brain respond to parts of the visual field that are also near each other



IT cells further from V1 show less and less retinotopy, organizing themselves by feature preference.

Many studies thus established that IT neurons prefer complex shapes

Historically, this idea met with resistance. Let's review why.

Since the 1800s, it has been known that the brain is divided into functional regions



Edward Albert Schafer, 1850-1935 British physiologist

XI. An Investigation into the Functions of the Occipital and Temporal Lobes of the Monkey's Brain.

By SANGER BROWN, M.D., and E. A. SCHÄFER, F.R.S., Jodrell Professor of Physiology in University College, London.*

Received November 24,-Read December 15, 1887.



"...the animals, although they received and responded to impressions from all the senses, appeared to understand very imperfectly the meaning of such impressions...even objects most familiar to the animals were carefully examined, felt, smelt and tasted exactly ... as an entirely new object...
For decades thereafter, investigators performed many lesions experiments to correlate brain locations with behavioral changes.



But they started using electrophysiology as their primary tool for mapping, we learned much more.

Hubel and Wiesel first showed us that cells in V1 responded differently to the orientation of edges



Diffuse light, edges, other simple geometric images



In early days, neurons in other parts of the brain were stimulated with similar images





I



No great responses. No receptive fields. Either this is a very different brain area compared to V1, or the right stimuli weren't used....

They went back to look for effects of attention...



"We set up a board in front of the monkeys with little windows or "peep holes" to which we could apply our eye or present such objects as a finger, a burning Q-tip, or a bottle brush. Most of the units responded vigorously..."

(1969)

Visual Receptive Fields of Neurons in Inferotemporal Cortex of the Monkey

C. G. Gross, D. B. Bender and C. E. Rocha-Miranda

ence. The first is that by largely confining the stimuli to bars, edges, rectangles, and circles we may never have found the "best" sitmulus for each unit. There were several units that responded most strongly to more complicated figures. For example, one unit that responded to dark rectangles responded much more strongly to a cutout of a monkey hand, and the more the stimulus looked like a hand, the more strongly the unit responded to it. "When we wrote the first draft...we did not have the nerve to include the 'hand' cell until [department head] Teuber urged us to do so."

They did not publish the existence of face cells until 1981.

Jerzy Konorski (1967) had recently proposed "gnostic" units – cells that represented "unitary perceptions." Suggested that they live in IT.

Charles G. Gross How Inferior Temporal Cortex Became a Visual Area The grandmother cell hypothesis



Over the years, dozens of teams have confirmed that IT neurons do prefer complex images

So are these grandmother cells...?



- changes size



- changes size

- moves to a different place



- changes size

- moves to a different place
- rotates in 3-D (viewpoint position)



- changes size

- moves to a different place
- rotates in 3-D (viewpoint position)
- is occluded by an object



3. How well do IT neurons tolerate these changes? - the problem of achieving invariance

Tomaso Poggio, MIT



One compelling summary of the goal of the ventral stream:

To compute object representations that are invariant to different transformations

(selectivity is much, much easier then!)

most experiments on IT have characterized

their ability to respond to their preferred stimulus

regardless of "nuisance" variables (e.g. position, size, rotation, lighting, occlusion, texture...)

how well do IT neurons respond to their preferred image when it changes **SiZe**?

One way to test size invariance: present the same image at different sizes. Does the firing rate change?

Ito et al. 1995 presented different images to IT neurons at different sizes



Sometimes, cells can show little variation in their spike responses to different sizes.

normalized response



More commonly, size tolerance means that neurons keep their ranked image preferences across size changes.

Definition: if a neuron likes image X more than image Y when X and Y are small...

and it also likes image X more than image Y when X and Y are big,



then it is size-invariant

This neuron shows the same relative preference *despite* size changes.

Ito et al. 1995

how well do IT neurons respond to their preferred image when it changes **position**?

Logothetis et al. (1995) presented the same object at different positions inside a neuron's RF



This neuron shows the same firing rate activity AND relative preference despite position changes. Ito et al. (1995) presented images in five positions inside a neuron's RF



This neuron shows different firing rates as a function of position for a given image





But they can also show the same relative preference for objects *despite* position changes.

Some image transformations are more problematic than others



When an object changes *size* or *position*, it is possible to match the images because all key points are the same

Some image transformations are more difficult than others



When an object changes size or position, it is possible to match the images because all interest features are the same

When an object rotates in 3-D space, entirely new parts may emerge

how well do IT neurons respond to their preferred image when it changes **viewpoint**?

Logothetis and others (1995) showed *paperclip*-like images to IT neurons and measured their "view tuning curves"







Can individual IT cells tolerate viewpoint changes in more complex images (e.g. faces)?



Yes, but it takes lots of work in the form of **patches**!

Current investigations in IT: patches (domains)

Cells with similar preferences **Cluster** together at different scales

Individual neurons, tens of micrometers apart, tend to share preferences



Fujita et al 1992

(evident with electrophysiology)

...groups of neurons at scales of <1 mm...



Tsunoda et al 2001

(visible with intrinsic imaging techniques)

Interestingly, also for clusters measuring up to several mm...



(visible in fMRI)

Some of these categories are abstract, and well-summarized by our vocabulary:



Thus we have "face patches," "body part patches..."

The best-studied patches are selective for faces. They were first characterized in humans by Sergent and Kanwisher (imaging)



And in monkeys, by Tsao, Freiwald and Livingstone (electrophysiologically)

These patches are present in virtually every monkey and human:

Why are patches necessary? Are they genetically encoded or developed purely through experience?

- We know it is computationally possible to get face recognition WITHOUT patches (as you will see in the neural networks talk)

The face network develops viewpoint invariance along its domains.



Patch ML neurons respond to similar viewpoints, despite person identity

Patch AL neurons respond to some viewpoints and their mirror images.

Patch AM neurons respond to identity despite viewpoint.

Freiwald and Tsao 2010

Figure from Charles Connor, 2010

Poggio and Anselmi have developed a general theory that proposes that viewpoint invariance is the key reason for the development of patches

Tomaso Poggio, MIT



Visual Cortex and Deep Networks

LEARNING INVARIANT REPRESENTATIONS



Current investigations in IT (2): bypass pathways and feedback
Because IT depends more on V4 than in other regions, we can think of IT as part of a "stream"

$$V2 \rightarrow V4 \rightarrow PIT \rightarrow AIT$$



What is the most prominent difference between V2 and V4?

PIT V2 V4



modified from Freeman and Simoncelli, 2011 (based on Gattass, Gross and Sandell, 1981)



IT sites may use parallel pathways to keep their preferences across different scales (size invariance!)

To be determined!

Current investigations in IT (3): How do IT neurons encode information at the population level?

Intro to the paper discussion



Virtually all studies above were conducted using single-electrode experiments



What do we do when we have many, many electrodes?

Chou Hung, Gabriel Kreiman, Jim DiCarlo, Tomaso Poggio

In single-cell electrophysiology...



Chou Hung, Gabriel Kreiman, Jim DiCarlo, Tomaso Poggio

In single-cell electrophysiology...



There are as many vectors as there are image flashes (presentations).



Think of each vector as a *point* in a coordinate space



Imagine you have flashed image X



while recording from two cells concurrently





this results in response vector comprising two elements (spike rate #1 and spike rate #2)





Different coordinate positions suggest separate representations in neural space

We need a statistic to tell us how separable these response clouds are in multi-dimensional space



One method to determine the separability of each cluster: statistical classifiers



Statistical classifier: a function that returns a binary value ("0" or "1"). These include rule-based classifiers, probabilistic classifiers, and geometric classifiers.

One example:

Support vector machines

-linear kernel

For a binary task, accuracy usually ranges between 50 and 100%

For multi-class classification, we can use a one-vs-all (aka one vs. rest) approach.



Test a new set of points, and identify which classifier gives the highest activation. How do we define the statistical reliability of classification accuracy?



Accuracy (correct labeling) vs. accuracy (shuffled labeling)

Randomly partition the data into subsets (90% for training, 10% for testing)

Repeat the procedure shuffling the class labels to check for accuracy bias. Now we have all we need to dig into the paper

Fast Readout of Object Identity from Macaque Inferior Temporal Cortex

Chou P. Hung,^{1,2,4*}[†] Gabriel Kreiman,^{1,2,3,4*} Tomaso Poggio,^{1,2,3,4} James J. DiCarlo^{1,2,4}

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