

Chapter IV. Creating and altering visual percepts through lesions and electrical stimulation

Supplementary contents at <http://bit.ly/3abKBpP>

We want to understand how neuronal circuits give rise to vision. We can use microelectrodes and the type of neurophysiological recordings introduced in **Chapter II**. In the case of the retina, it is evident where to place the microelectrodes to examine function. However, there are about 10^{11} neurons in the human brain, and we do not have any tools that enable us to record from all of them. How do we figure out what parts of the brain are relevant for vision so that we can study them at the neurophysiological level?

To find out how a device works, it is often useful to take it apart, inspect its elements carefully, examine the device's function upon systematically removing individual components, put the device back together, and ensure that the original function is restored. An extraterrestrial coming to Earth intrigued by how cars work might find out that the car can still navigate quite well upon removing the radio, but the car fails to start without the battery.

Trying to figure out how the brain works by examining the behavioral consequences of restricted lesions has been a fundamental approach in Neuroscience since the very beginnings. The history of brain science can be traced back to the famous Edwin Smith Surgical Papyrus, which dates back to the 17th century BC, and which describes the symptoms of two warriors who suffered wounds in the head. Despite this early description, for centuries, people believed that the heart was the seat of cognition. The erudite philosopher Aristotle (384 – 322 BC) believed that the purpose of the brain was to cool down the blood and maintained that the heart contains the rational soul. It was again the study of head injuries, skull fractures, and spinal injuries that brought back the discussion of mental events to the brain.

Deducing the function of different brain structures by the examination of lesions is easier said than done. First, different components of the system may interact with one another to perform any one particular task, such that removing one of them could lead to indirect functional consequences beyond those directly associated with the loss of the lesioned tissue. Second, there could be a significant amount of redundancy, such that another component could take over, thereby shadowing the actual function of the lesioned area. Third, it is not particularly easy to remove specific parts of the brain. Despite these challenges, much has been learned about visual circuit function through lesions in animals and humans.

In addition to lesions, another approach to evaluate the role of specific brain areas in visually triggered behavior has been the injection of electrical

46 currents to manipulate brain function. Invasive brain stimulation can trigger
47 activity in neurons within circumscribed regions and help test hypotheses about
48 the involvement of those neurons in visual processing.

49

50 **IV.1. Correlations and Causality in Neuroscience**

51

52 As often stated, correlations do not imply causation (*Non Causa Pro*
53 *Causa*, in Latin). This simple logical statement is often ignored, leading to much
54 confusion and misinterpretation of cause and effect in Neuroscience, as well as
55 in many other disciplines. There are plenty of examples of this type of
56 misinterpretation in the news. For example, the following statements extracted
57 from news articles can easily be misinterpreted to imply causality: “Smoking is
58 associated with alcoholism”; “Girls who watch soap operas are more likely to
59 show eating disorders”; “People who go to museums live longer.” Whether these
60 statements are true or not is irrelevant here. These statements reflect
61 correlations reported by journalists, and readers might erroneously infer some
62 form of causality. The medical community is not immune to this fallacy. Consider
63 the following statement: “The majority of children with autism are diagnosed
64 between the ages of 18 months and three years old. That is also the same period
65 when children receive a large number of immunizations. People see the
66 correlation between receiving immunizations and the diagnosis of autism, and
67 assume that the immunizations cause autism.” The correlation between the age
68 of immunization and the appearance of autism syndromes does *not* imply any
69 causal relationship between the two.

70

71 In the next chapters, we will examine the activity of individual neurons
72 along visual cortex. Those neurophysiological recordings provide *correlations*
73 between neuronal responses and visual stimuli, or *correlations* between neuronal
74 responses and visually evoked behavior. Moving beyond these correlations to
75 establish causality is not a trivial matter. We will consider here two approaches
76 that can help bring us a step closer towards understanding the relationship
77 between neural activity in specific brain circuits and visual perception: lesions
78 and electrical stimulation.

79

80 **IV.2. A panoply of lesion tools to study the functional role of brain areas** 81 **in animals**

82

83 Investigators take advantage of several tools to examine the effect of
84 removing or silencing a brain area, including physical lesions, cooling
85 experiments, pharmacological intervention, cell-specific ablation, molecular tools
86 such as gene knock-outs, and optogenetics.

87

88 *Physical lesions.* One of the most widely used tools to study function in the brain
89 has been the behavioral examination of subjects with physical lesions. It is also
90 possible to induce lesions by injecting chemicals like neurotoxins. In non-human
91 animals (henceforth animals), investigators may remove specific brain areas to

92 examine the behavioral deficits. For example, retinal ganglion cells project to
93 primary visual cortex (via the LGN) and to the superior colliculus. Primates with
94 lesions to the superior colliculus are still capable of solving visual recognition
95 tasks, whereas animals with lesions to primary visual cortex are not. Subsequent
96 studies examined the function of different parts of visual cortex through lesions.
97 Lesions to an area known as the middle temporal area (MT, also known as area
98 V5) lead to severe impairment in the ability to discriminate motion direction,
99 whereas lesions to inferior temporal cortex lead to object recognition deficits.

100
101 Lesion studies in animals often provide highly valuable information, but
102 they are not always easy to interpret. First, it is challenging to make anatomically
103 precise lesions. Second, behavioral assessment may not be trivial: unless the
104 animal shows a definite impairment in a battery of often pre-defined tasks,
105 important deficits could be missed. Finally, by definition, lesions defined by
106 anatomical landmarks impact multiple cell types and multiple connections,
107 including inputs and fibers of passage. As a rough analogy, imagine removing
108 the entire state of Massachusetts from the US. The loss of economic activity from
109 Massachusetts may have effects on the broader economy that are difficult to
110 predict, the loss of the infrastructure of major highways and rail lines that run
111 through Massachusetts may also impact traffic and other economic activity in
112 New England and other regions, and removing 114 colleges could impact
113 educational opportunities. There would be severe deficits, but some may not be
114 obvious to spot, some may not be unique to Massachusetts, some may not be
115 immediate and may require time to appreciate, and some may require detailed
116 insights about what to look for.

117
118 *Cooling.* Neuronal activity decreases sharply when the temperature of the brain
119 or a given brain region is lowered (**Figure IV-1**). Cooling devices can be
120 implanted in the brain to lower the local temperature by several degrees.
121 Lowering the temperature can silence activity in the region surrounding the
122 cooling probe. In contrast to physical lesions, cooling is a transient and reversible
123 procedure so that the same animal can be studied before, during, and after the
124 effects of inactivation.

125
126 **INSERT Figure IV-1 ABOUT HERE**

127 **Figure IV-1. Cooling a patch of cortex can essentially abolish activity in the local**
128 **circuitry. A.** Raster plots, showing each action potential as a dot, when a bar was swept
129 repeatedly across the receptive field of a neuron in visual area V2 in an awake macaque
130 monkey. Each row is one sweep lasting 1.5 seconds, and consecutive sweeps are
131 shown from bottom to top. Arrows indicate when a pump is turned on to cool an area in
132 the vicinity of the recording electrode, and when the pump is turned off again. Within a
133 few minutes of turning the pump on, activity is reduced. **B.** Mean visually evoked activity
134 (spontaneous activity subtracted) over time. Visual activity is eliminated within 4 minutes
135 of turning the pump on. Modified from Ponce et al. 2008.

136
137 *Pharmacology.* Pharmacological intervention can also be used to reversibly
138 silence brain regions. The most well-known type of chemical intervention is

139 perhaps general anesthesia, where large parts of the brain are affected, and the
140 patient is “put to sleep.” It is also possible to inject neuronal inhibitors to affect
141 activity in *local* circuits. Pharmacological silencing procedures are often
142 reversible, and the silencing effects disappear when the drugs wash out. One of
143 the most common forms of pharmacological intervention is the use of *muscimol*.
144 Muscimol is a potent activator of a specific type of receptor for the brain’s main
145 inhibitory neurotransmitter, GABA. It is also one of the main psychoactive
146 components of several types of psychedelic mushrooms. Muscimol has been
147 extensively used to induce local silencing of neural activity. Another common
148 example is the use of *lidocaine*, which acts by extending the inactivation of the
149 fast voltage-gated sodium channels, leading to a reduction or elimination in the
150 possibility of triggering action potentials.

151
152 *Cell ablation.* In a few heroic studies, investigators have used high-resolution
153 imaging tools to identify specific cell types and then ablated those cells one-by-
154 one to examine the behavioral consequences. Cell ablation is not a reversible
155 procedure, it is difficult to inactivate large areas with this protocol, and it is a
156 particularly challenging experiment because of the requirement to manually go
157 through the cells to be inactivated. The effort is rewarded by a unique ability to
158 remove individual cells from the circuit.

159
160 *Gene knock-outs.* To describe gene knock-outs, let us first briefly summarize the
161 central tenets of molecular biology. Genetic information is stored in DNA. Each
162 cell can *express* different genes along the DNA, meaning that those genes are
163 converted into a messenger molecule called RNA, and subsequently translated
164 into proteins. Powerful molecular biology tools allow silencing expression of
165 specific genes through *knock-outs* and *knock-ins*. These techniques allow
166 studying the consequences of removing specific genes, adding specific genes, or
167 altering the timing or spatial pattern of expression of specific genes.

168
169 Furthermore, a recent technique known as *CRISPR* allows investigators
170 to edit individual nucleotides in specific genes. These techniques can be applied
171 in such a manner that animals are born with the modified gene expression
172 patterns. Alternatively, these manipulations can also be programmed in an
173 inducible format so that the changes only take effect when the investigator turns
174 them on. Such molecular manipulations have traditionally been the domain of
175 work in mice, and it remains difficult, though not necessarily impossible, to use
176 these techniques in primate research. More recently, primate researchers have
177 turned their attention to virus injection techniques that could achieve high
178 molecular specificity without having to incur in the time and cost of developing
179 knock-outs.

180
181 **INSERT Figure IV-2 ABOUT HERE**
182 **Figure IV-2. Silencing specific neuronal populations via optogenetics.** Activity of a
183 silenced neuron during and after 1 second of light illumination in an optogenetics
184 experiment. Top: Action potential waveforms elicited before illumination (left), during
185 illumination (middle), or after illumination (right); shown is the mean (black) as well as

186 the overlay of raw waveforms (gray). Middle: Single neuron activity, shown as a spike
187 raster plot, and as a histogram of instantaneous firing rate averaged across trials
188 (bottom; bin size 20 ms). Bottom: Histogram of instantaneous firing rate, averaged
189 across all silenced single units recorded upon 1 s green light exposure. Black line,
190 mean; gray lines, mean \pm standard error (SE); $n = 45$ silenced single units. Modified from
191 Han et al. 2011.

192

193 **Optogenetics.** A particularly exciting and promising novel tool to silence – or
194 activate – a specific population of neurons is *optogenetics* (**Figure IV-2**).
195 Introduced by Ed Boyden and Karl Deisseroth, optogenetics constitutes a
196 transformative technique to manipulate neural activity of cell types of interest with
197 unprecedented resolution and control. Briefly, neurons are genetically modified
198 by injecting a virus to express a light-sensitive ion channel. This ion channel is
199 expressed only in specific neurons and not others by virtue of the *promoter* that
200 drives its expression. The promoter is a region of DNA, typically sitting right
201 upstream from the gene itself, which is responsible for controlling when and
202 where a given gene will be activated. Once the neurons of interest express this
203 light-sensitive ion channel, the cells are ready to be manipulated by shining light
204 on the tissue. The opening of some ion channels can lead to excitation
205 (depolarization of the neuronal membrane), whereas the opening of other ion
206 channels can lead to inhibition (hyperpolarization of the neuronal membrane). By
207 injecting a virus carrying an inhibitory channel, expressing that channel only in a
208 subpopulation of neurons and shining light on the tissue, it is possible to turn off
209 only certain types of neurons, in a temporally restricted and reversible manner.

210

211 Several distinctions need to be made while considering studies involving
212 lesions and silencing. First, as noted above, many of the techniques like physical
213 lesions involve removing (or silencing) large amounts of tissue. Therefore, an
214 often-encountered discussion in the literature concerns the separation of local
215 effects from “fibers of passage” effects. Imagine that axons going from area A to
216 area C happen to pass near area B. A lesion to area B may also cut through the
217 A→C axons. An investigator performing a lesion in area B may draw conclusions
218 about the functional roles of area B. However, it may turn out that the behavioral
219 consequences of the lesion may not be due to the function of area B at all, but
220 instead to the function of areas A or C, whose communication was severed while
221 removing B.

222

223 Another distinction to be made concerns immediate versus long-term
224 effects. The brain has a remarkable degree of plasticity. Over time, the
225 behavioral effects of lesions to a given brain area could be overcome through
226 compensatory adjustments in other brain areas. One such potential
227 compensatory mechanism is the presence of a “copy” of the same brain area in
228 the other hemisphere. Many (but not all) parts of the brain have analogous
229 counterparts in the other hemispheres. The effects of unilateral lesions (lesions in
230 only one hemisphere) can be masked by activity in the other hemisphere (unless
231 specific precautions are taken in the experimental design).

232

IV.3. Some tools to study the functional role of brain areas in humans

Due to obvious ethical reasons, most of the techniques discussed in **Section IV.2** cannot be used in studies in human subjects. There are, however, a wide variety of neurological conditions that provide important insights about functional neuroanatomy of the human brain. These cases typically come from a variety of neurological conditions, accidents, and wounds. We mentioned Oliver Sacks in **Chapter II**; he was an influential neurologist who wrote extraordinary and intimate accounts of patients visited with a wide variety of mental conditions. In the prologue to one of his books describing the consequences of lesions in the human brain, he wrote, "... feeling in part like a naturalist, examining rare forms of life, in part like an anthropologist, a neuroanthropologist, in the field ... These are tales of metamorphoses, brought about by neurological chance, but metamorphoses into alternative states of being, other forms of life, no less human for being so different".

Bullets and wounds inflicted by other weapons have provided critical insights about function in visual cortex. Carbon monoxide poisoning, as well as certain viral infections such as encephalitis, often produce severe visual deficits, especially when occurring in the temporal lobe. Head trauma, partial asphyxia during the first weeks of life, tumors, and hydrocephalus (accumulation of cerebrospinal fluid), can also result in visual deficits.

To study the consequences of lesions, it is always important to design the experiments carefully. Otherwise, even remarkable behavioral deficits associated with lesions could be missed. Consider, for example, the case of split-brain patients. These are patients with pharmacologically resistant epilepsy who undergo severance of the primary fibers that connect the two hemispheres, the corpus callosum fibers, as a treatment for epilepsy. For a long time, it was assumed that there was nothing out of the ordinary with these subjects who had their two hemispheres mostly disconnected (not completely disconnected because there are a few other smaller fiber tracts that also connect the two hemispheres). It was not until Roger Sperry (1913–1994) designed careful experiments based on his scientific understanding of the neuroanatomy of the visual system that some of the deficits became apparent. Sperry knew that the right visual hemifield maps onto the left hemisphere in visual cortex and vice versa.

As described in **Chapter II**, it is essential to distinguish between the right and left eyes and the right and left *visual hemifields*: the right and left visual hemifields are defined by the position in a visual scene with respect to the fixation point. Thus, every time you move the eyes and fixate on a new location, the location of each hemifield changes. Most of the information from the right hemifield reaches both the left and right eyes (and most of the left hemifield reaches other parts of the retina in both the left and right eyes). By using a simple divider, Sperry designed an experiment where visual information about an

279 object reached only the right hemisphere (information from the left hemifield).
280 Thus, information about the object was not accessible to the left hemisphere.
281 Because for most right-handed people, the left hemisphere is critical for
282 language, Sperry demonstrated that the subjects were unable to name the
283 objects. Conversely, when object information reached only the left hemisphere,
284 subjects had no problem in naming the objects. Sperry was awarded the Nobel
285 Prize for this work in 1981. Previous studies in these subjects had failed to
286 uncover any deficit because visual information was presented to both
287 hemispheres, and therefore the investigators had not been able to observe the
288 problems associated with lack of communication between the two hemispheres.

289
290 The study of “natural lesions” in patients encounters other challenges in
291 addition to many of the ones discussed in the previous section for animal work.
292 Many human studies may be unique and hard to reproduce, depending on the
293 exact nature of the lesion. There are plenty of single case studies. These studies
294 are fascinating and highly informative. Yet, without reproducibility, it is not always
295 easy to follow up or investigate the deficits in further detail, as can be done in
296 studies in animals. Additionally, natural lesions do not necessarily respect any
297 boundaries established by anatomical, cytoarchitectonic, or neurophysiological
298 criteria. Therefore, many neurological lesions encompass large parts of cortex
299 and multiple regions that are functionally distinct. The accidental nature of these
300 lesions can make it challenging to interpret the findings due to the combination of
301 multiple direct, indirect, and non-specific lesion effects. Another difficulty in
302 human lesion studies is that it is not always easy to localize the lesion or brain
303 abnormality. Magnetic resonance imaging (MRI) and computed tomography (CT)
304 can only detect certain types of relatively large-scale brain transformations, but
305 more subtle effects are typically missed.

306
307 Despite the limitations in researching human lesions, an advantage of
308 human neurological studies over animal studies is the accessibility of subjective
309 behavioral reports. In some cases, specific visual deficits after lesioning or
310 silencing experiments in animal models may be hard to detect due to the limited
311 nature of the behavioral assessment paradigms. Behavioral evaluation is often
312 more straightforward in humans. In fact, human subjects may even come to the
313 doctor and directly report the deficits in full detail.

314 315 **IV.4. Partial lesions in primary visual cortex lead to localized scotomas**

316
317 *INSERT Figure IV-3 ABOUT HERE*
318 **Figure IV-3. Local blind spots (scotomas) caused by lesions in primary visual**
319 **cortex.** (Left). Flattened map of primary visual cortex, in the occipital lobe, around the
320 calcarine fissure. The map highlights different locations with different colors for reference
321 to the visual field mapping on the right. (Right) Visual field map showing the position
322 from the center of fixation (center of the circle) at different eccentricities. Note the
323 disproportionately large fraction of cortex devoted to the small foveal area around
324 fixation (red). Reproduced from Glickstein 1988.

325

326 The scientific study of visual cortex is arguably the only positive outcome
327 of the nefarious wars at the beginning of the twentieth century. The discovery of
328 primary visual cortex can be traced back to the careful examination of bullet
329 trajectories through the human brain and their behavioral consequences during
330 the Russo-Japanese War and World War I. In the late 19th century, Hermann
331 Munk (1839 – 1912), reported that damage to the occipital lobe in one
332 hemisphere in monkeys rendered the animals blind in the contralateral visual
333 hemifield (contralateral means the opposite side). During the early wars of the
334 twentieth century, a Japanese physician named Tatsuji Inouye (1881–1976) and
335 two British physicians named Gordon Holmes (1876–1965) and George Riddoch
336 (1888–1947) described clear and delimited visual field deficits contralateral to the
337 lesion in the occipital cortex. New weapons introduced during these wars caused
338 bullets to penetrate the skull at high speeds without completely shattering the
339 skull. Certain bullet trajectories going through the occipital lobe caused the
340 soldiers to lose consciousness momentarily but ultimately recover.

341
342 Methodical scrutiny showed that patients suffering from wounds in the
343 occipital cortex were essentially blind within a delimited part of the visual field,
344 resulting in a *visual scotoma*, a black patch at a specific location with respect to
345 the fixation location. Because positions are described with respect to the fixation
346 point, the location of the scotoma in the world changes when the subject moves
347 his/her eyes. Local damage in primary visual cortex gave rise to blind regions in
348 the visual field, and the effects were quite similar to the ones observed due to
349 local lesions in parts of the retina. Shape, color, and, to a lesser extent, motion
350 discrimination, were typically absent within the scotoma. Similar effects are often
351 encountered through vascular damage, tumors, and trauma studies of the
352 occipital cortex. By correlating the visual deficits with brain damage, it was
353 possible to establish a map of the visual field in the posterior part of the occipital
354 lobe, an area that is now known as *primary visual cortex* or V1 (**Figure IV-3**).
355 Biologists like to come up with names for genes, cell types, and brain areas; it is
356 not unusual to have multiple names refer to the same thing. Visual area V1 is
357 sometimes referred to as primary visual cortex, striate cortex, calcarine cortex,
358 area 17 (in cats), and also Brodmann area 17 (based on the cytoarchitectonic
359 maps subdividing cortex into multiple areas by the German neurologist Korbinian
360 Brodmann (1868–1918) at the beginning of the 20th century). A rose by any other
361 name would smell just as sweet.

362
363 The discovery of primary visual cortex was inspiring in many ways. First,
364 it documented how a concrete function could be represented in a specific
365 location in cortex. Together with localization studies for language functions dating
366 back to the seminal work of Paul Broca (1824–1880), these findings provided
367 ammunition to the idea that cortex is not merely an amorphous distributed sheet
368 of computational elements but rather, that it is possible to ascribe specific roles to
369 groups of neurons in specific locations. Second, going well beyond the
370 localization of language functions, lesions in visual cortex showed a rather
371 detailed topographic map between the outside world and the brain. Third, these

372 lesion studies set the foundation for the detailed neural circuit analyses that
373 would come a few decades later and continues to this day, to be discussed in
374 **Chapters V-VI**.

375
376 The amount of real estate in cortex devoted to different parts of the visual
377 field is not distributed uniformly. There is a disproportionately larger area of V1
378 devoted to processing the fovea (red region in **Figure IV-3**); this enlargement of
379 the foveal representation is known as the cortical magnification effect. The fovea
380 constitutes less than 0.1% of the total visual field size, but its representation
381 takes almost 10% of primary visual cortex. There is progressively less amount of
382 cortex per visual degree as we move from the center of fixation to the periphery.
383 Having a high-resolution representation is good, but it comes at a cost. The brain
384 would have to be orders of magnitude larger, hence heavier and more expensive
385 from an energetic viewpoint, to represent the entire visual field with the same
386 resolution as the fovea. The cortical magnification of the fovea, combined with
387 rapid eye movements (**Section II.4**) and working memory (the ability to
388 temporarily store information), provides an elegant compromise to obtain high
389 acuity with a manageably-sized brain.

390
391 The visual field is mapped onto cortex in a topographical fashion: nearby
392 locations in cortex represent nearby locations in the visual field. As noted earlier,
393 information from the right hemifield is mapped onto the left visual cortex and vice
394 versa. The *calcarine sulcus* divides primary visual cortex. A sulcus is a furrow,
395 which gives rise to the convoluted shape of the brain and allows folding the vast
396 expanse of the cortex into a tight volume. The upper hemifield (yellow in **Figure**
397 **IV-3**) is mapped onto the lower bank of the calcarine sulcus, and vice versa.
398 Because of this orderly topographical organization, when an investigator lowers
399 an electrode to record the activity of neurons in primary visual cortex (**Chapter**
400 **V**), the anatomical landmarks provide an approximate guideline to localize the
401 neuron's receptive field (i.e., the part of the visual field that activates the neuron,
402 **Figure II-9**). The topographical arrangement, usually referred to as retinotopic
403 mapping in this case, can lead to saving wire, and hence also space, to establish
404 all the connections to and from primary visual cortex. Additionally, because of
405 this topography, coarse measurements that average the activity of multiple
406 nearby neurons may still reveal interesting properties of the circuit, in contrast to
407 a situation where all the neurons are arranged in a completely random fashion.
408 Such a topographical organization is also a property of most, if not all, of the
409 other visual cortical areas.

410
411 There was a considerable degree of excitement in the vision community
412 a few years ago with the description of a phenomenon called *blindsight*. As the
413 name suggests, it was observed that some subjects with profound lesions to
414 occipital cortex were still capable of certain visual behaviors within the scotoma.
415 Several possibilities were proposed to account for these observations, including
416 anatomical routes that bypass V1 (for example those connecting the LGN to
417 other visual cortical areas), and the presence of small intact islands in V1 that

418 may not be seen at the coarse scale of magnetic resonance images used to
419 characterize the lesions. Although there is no doubt about the basic
420 phenomenology of residual visual capabilities in patients with damage to V1, the
421 range of visual behaviors in these subjects is limited. Subjects could detect
422 motion (this was also observed in the initial study of soldiers with occipital cortex
423 wounds by Riddoch in 1917), discriminate day from night, approximately localize
424 a light source and describe its color, and other coarse visually-elicited behavior.
425 However, in all cases, their capacity for fine visual discrimination was lost.

426
427 The profound deficits after V1 lesions in both animals and humans,
428 combined with the challenges in examining visual behavior in animals, led
429 several prominent investigators in the 1950s to argue that V1 is not only
430 necessary but also sufficient for visual perception. In an interesting historical
431 overview, Charles Gross cites several striking demonstrations of this narrow-
432 minded scientific perception which turned out to be completely wrong: “In human
433 subjects there is no evidence that any area of the cortex other than the visual
434 area 17 [this is area V1] is important in the primary capacity to see patterns. . . .
435 Whenever the question has been tested in animals the story has been the
436 same.”; “...visual habits are dependent upon the striate cortex [another name for
437 area V1] and upon no other part of the cerebral cortex.”; “... image formation and
438 recognition is all in area 17 and is entirely intrinsic. ... the connections of area 17
439 are minimal”.

440

441 **IV.5. What and Where pathways**

442

443 The assertion that vision stops in area V1 was proven to be completely
444 wrong. Multiple studies have shown that severe visual deficits can be ascribed to
445 lesions in cortical areas outside of V1. One of the earliest demonstrations that V1
446 could not be the entire story was the study of the so-called Kluver-Bucy
447 syndrome. After bilateral removal of the temporal lobe in macaque monkeys, the
448 original reports described a variety of behavioral effects, including loss of visual
449 discrimination, but also other symptoms such as increased tameness,
450 hypersexuality, and altered eating habits. The wide variety of symptoms is now
451 thought to be a consequence of massive and poorly circumscribed lesions.
452 Subsequent and more refined lesion studies confirmed that lesions of the inferior
453 temporal cortex lead to deficits in the ability to discriminate colors and shapes,
454 without all the other accompanying non-visual manifestations.

455

456 Studies outside of V1 led to a fundamental distinction between lesions to
457 *ventral cortex* and lesions to the *dorsal cortex*. The ventral cortex leads from V1
458 into area V4 and the inferior parts of the temporal cortex (mostly along the
459 rightmost part of the diagram in **Figure I-5**). The *dorsal cortex* leads from V1 into
460 areas MT, MST, and parietal cortex structures (mostly along the middle and left
461 part of the diagram in **Figure I-5**). Ventral visual cortex lesions lead to deficits in
462 shape recognition, and therefore this pathway is often referred to as the “What”
463 pathway. Dorsal visual cortex lesions lead to deficits in object localization, and

464 therefore this pathway is often referred to as the “Where” pathway. As
465 emphasized by the dense connectivity in **Figure I-5**, these two pathways are not
466 really independent, and there are multiple interconnections between the two.
467

468 **IV.6. The “where” pathway: Dorsal stream lesions**

469
470 The types of deficits associated with lesions along the dorsal visual
471 stream are quite distinct from those associated with lesions along the ventral
472 visual stream. The dorsal pathway is mainly involved in spatial localization of
473 objects within their environment and detecting object movement. Lesions along
474 the dorsal stream can lead to akinetopsia, neglect, hemineglect, optic ataxia, and
475 simultanagnosia.

476
477 *Akinetopsia* refers to the specific inability to discriminate visual motion. This
478 condition has been likened to the perceptions evoked by stroboscopic lights in a
479 discotheque. The subject with akinetopsia can see isolated snapshots but not the
480 movement trajectory. This condition has also been reproduced in monkeys upon
481 lesioning of the MT area in the dorsal path.
482

483 Visual *hemineglect* is described as an attentional disorder and is associated with
484 lesions in the parieto-occipital junction. Hemineglect is defined as the inability to
485 attend to a visual hemifield contralateral to the side of the lesions. For example, a
486 subject may eat from only the right half of the plate or may copy only one half of
487 a drawing. The condition is rather curious: the subjects are *not* blind in one
488 hemifield, as demonstrated by the fact that subjects can be made to attend and
489 identify objects in the affected hemifield. Furthermore, and particularly
490 intriguingly, some hemineglect patients also fail in tasks that involve retrieving
491 information from visual memories in a location-specific manner. In a famous
492 experiment, an Italian subject with left-sided hemineglect was asked to imagine
493 standing in the Piazza del Duomo in Milan, facing the famous cathedral, and
494 report what they could recall from this scene. Subjects typically omitted to
495 mention places or streets on the left side from that vantage viewpoint.
496

497 *Simultanagnosia* is the inability to see more than one or two objects in a scene.
498 Sometimes subjects are only able to detect small parts of objects. Subjects with
499 simultanagnosia are not able to interpret a visual scene. The impairment is so
500 debilitating that these subjects are often described as being functionally blind
501 despite showing perfect acuity for the object that they are attending to at any
502 given time.
503

504 All of these conditions are extremely infrequent in the population. We can
505 argue that in all of these conditions, object shape recognition remains intact.
506 Because of the motion discrimination deficits, and the spatial aspects of neglect,
507 the dorsal visual cortex is described as the *Where* pathway. An alternative, but
508 not necessarily mutually exclusive description, refers to dorsal visual cortex as
509 the *Action* pathway. Melvyn Goodale and David Milner described an unusual

510 patient with a lesion primarily restricted to the temporal lobe. This subject had
511 severe impairment in object shape recognition, as we will describe in the next
512 section. However, despite her inability to recognize objects, the subject showed a
513 rather remarkable ability to interact with many objects. For example, she showed
514 an appropriate reach response towards objects that she could not describe. She
515 also showed correct behavioral performance in visuomotor tasks. Goodale and
516 Milner proposed that the dorsal pathway is particularly engaged in “vision for
517 action,” the immediate use of visual information to carry out specific visually
518 guided behaviors. In contrast with this action mode, they proposed that
519 awareness about an object requires activity in the ventral stream and the
520 temporal lobe in particular.

521

522 **IV.7. Inferior temporal cortex is critical for visual object recognition in** 523 **monkeys**

524

525 The confusions around the Kluver-Bucy syndrome illustrate the
526 challenges in interpreting the consequences of large cortical lesions. Making
527 more precise lesions restricted to inferior temporal cortex (ITC) has shown that
528 bilateral ITC removal leads to impairment in learning visual discriminations as
529 well as deficits in retaining information about visual discriminations that were
530 learned before the lesions. In typical experiments, monkeys have to learn to
531 discriminate between different visual shapes to obtain a reward. Animals with
532 lesions in ITC fail in this task, but they can still perform other visual tasks such as
533 learning which one of two visual locations is associated with reward.

534

535 The severity of the deficit is typically correlated with task difficulty.
536 Monkeys can still perform “easy” visual discrimination tasks after bilateral ITC
537 lesions. Deficits apply to objects, visual patterns, object size, color, and other
538 properties. Deficits in recognizing forms defined by motion or luminance have
539 also been described after ITC lesions. The behavioral deficits are restricted to the
540 visual domain and do not affect discrimination based on tactile, olfactory, or
541 auditory inputs. None of the “psychic blindness” or other social effects described
542 originally by Kluver and Bucy were apparent after bilateral ITC lesions, further
543 emphasizing the importance of spatially restricted lesions to adequately interpret
544 the behavioral deficits. These visual shape recognition deficits are long-lasting.

545

546 Scrutinizing the anatomical pathways described in **Figure I-5**, we
547 observe that there are many ways for information to travel from one point to
548 another in visual cortex. Information could be flexibly routed throughout the visual
549 circuitry, depending on the nature of the task at hand. In the absence of ITC,
550 certain “easy” tasks could be solved by routing information from early visual
551 cortical areas onto decision and motor centers. Other more “complex” tasks may
552 necessitate the type of computations that take place in higher areas like the ITC.

553

554

555 In the same way that the Kluver-Bucy syndrome could be fractionated by
556 more circumscribed lesions, it is quite likely that future, even more specific,
557 lesions within ITC will further fractionate the object recognition deficits prevalent
558 after bilateral ITC ablation. Indeed, hints of this type of specificity are apparent in
559 recent elegant work combining pharmacology, optogenetic manipulation, neural
560 recordings, and behavior in monkeys. Investigators focused on an area of ITC
561 cortex with an abundance of neurons that respond preferentially to faces
562 compared to other objects (a theme that we will return to when we examine the
563 neurophysiological properties of ITC neurons in **Chapter VI**). To the extent that
564 the activity of those neurons is instrumental in tasks that depend on
565 understanding face shapes, the authors hypothesized that inhibiting local regions
566 would disrupt behavioral performance in suitable recognition tasks. To evaluate
567 this hypothesis, they trained monkeys in a gender discrimination task based on
568 face images. Once the animals were trained, the authors inactivated small local
569 regions of ITC. This inactivation was performed using either optogenetic
570 manipulation or pharmacological intervention. Suppressing local neural activity
571 led to an impairment in gender discrimination performance in the monkeys.
572 These behavioral effects were reversible: performance returned to normal levels
573 after the optogenetic or pharmacological silencing was turned off. The effects
574 were also specific: inactivation of other brain regions did not lead to such
575 behavioral impairments. In sum, lesion studies point to an essential function of
576 ITC in the ability to discriminate different shapes visually. Such studies played an
577 important role in guiding the neurophysiological investigation of the properties of
578 ITC neurons during visual recognition (**Chapter VI**).

579

580 **IV.8. Lesions leading to shape recognition deficits in humans**

581

582 *INSERT Figure IV-4 ABOUT HERE*

583 **Figure IV-4. A patient with visual form agnosia who struggles to draw shapes.** The
584 patient was asked to draw **A.** His name; **B.** Letters W, V, L, X, and A; **C.** Number 1
585 through 11; **D.** a circle, square, and triangle; **E.** A man. (Reproduced from Benson 1969)

586

587 Due to experimental challenges, much less is understood about the
588 consequences of lesions to human ventral visual cortex. Along the ventral visual
589 stream, lesions around area V4 lead to *achromatopsia*, a specific inability to
590 recognize colors. Note that this condition is distinct and dissociable from the type
591 of retinal color blindness discussed in **Chapter II**, which is associated with a lack
592 of one or more types of cones.

593

594 Lesions in higher areas of the temporal lobe can lead to a variety of
595 intriguing forms of *agnosias* (Agnosia means “lack of knowledge” in Greek).
596 **Figure IV-4-6** illustrate the type of behavioral deficits encountered in one type of
597 visual agnosia in three different tasks. These figures illustrate the behavior of a
598 25-year-old patient who was examined seven months after accidental carbon
599 monoxide poisoning. The patient was able to navigate the hospital where he was
600 admitted, he could follow moving objects, and he could name colors. There were

601 no abnormalities in the retinae. His visual fields, that is, his ability to detect
602 flashes of light in different locations with respect to fixation, were mostly normal.

603

604 Although those elementary visual functions were intact, the patient was
605 unable to name objects placed in front of him. He could still name objects by
606 using tactile, olfactory, or auditory cues, suggesting that the deficit was not
607 associated with an inability to produce speech or to retrieve semantic information
608 about objects. He was unable to make drawings of specific shapes, including
609 writing his name or drawing a man (**Figure IV-4**). The inability to draw specific
610 shapes upon request could potentially be ascribed to a specific deficit in
611 retrieving visual memories. However, the patient was also unable to copy shapes
612 using templates presented in front of him (**Figure IV-5**). The patient could clearly
613 understand language and could also execute motor commands. One may still
614 argue that the tasks in **Figure IV-4** and **Figure IV-5** rely on the ability to draw,
615 and people may have different abilities to draw. However, the same patient was
616 able to make better drawings, and certainly to write his name, before the
617 accident. Furthermore, the patient also struggled in a visual shape matching task
618 that did not require any drawing (**Figure IV-6**).

619

620 *INSERT Figure IV-5 ABOUT HERE*

621 **Figure IV-5. The same patient cannot copy shapes.** The patient was asked to copy
622 the letters and numbers in column 1 and the shapes in column 3 (Reproduced from
623 Benson 1969).

624

625 As noted earlier, **Figure IV-4-6** describe a single case study. Not all
626 subjects with agnosias have the same deficits. For example, some patients can
627 do an excellent job in the copying task (**Figure IV-5**), but not in the drawing from
628 memory task (**Figure IV-4**). It is not clear whether this type of deficit should be
629 described as a visual impairment, or rather a language deficiency, a visual
630 imagery challenge, or a difficulty in retrieving shapes from memory. The type of
631 generalized deficit with shapes combined with normal visual field and adequate
632 language and memory function in other sensory modalities, as illustrated by the
633 patient in **Figure IV-4-6** is rare but seems to suggest a *bona fide* visual
634 impairment.

635

636 *INSERT Figure IV-6 ABOUT HERE*

637 **Figure IV-6. The same patient fails to perform a visual shape-matching task.** The
638 patient was asked to indicate which of the objects matched the one on top in each
639 column (Reproduced from Benson 1969).

640

641 These types of studies often involve single subjects or a handful of
642 subjects, and the lesions are necessarily not well circumscribed. Shape
643 recognition agnosias have been subdivided into multiple groups depending on
644 whether they are thought to be mostly visual, whether the deficits also involve
645 language, and whether the deficits affect object manipulation or recognition
646 through other sensory modalities. One variant is called *associate visual agnosia*.
647 This label is assigned to cases where copying a drawing and matching complex

648 shapes remain intact, but drawing from memory and object identification are
649 significantly impaired. As mentioned above, in many of these studies, it is not
650 entirely clear that the deficits are strictly associated with the visual recognition
651 machinery. Patients may show deficits in naming the stimuli, describing them,
652 using them, drawing them from memory – all tasks that may depend on or relate
653 to language abilities – but not necessarily matching two similar objects based on
654 visual appearance or copying them. Another variant is called *aperceptive visual*
655 *agnosia*. These subjects cannot name, copy, or match simple shapes. Because
656 they cannot copy or match shapes, these cases may be closer to visual
657 recognition challenges, such as the specific patient considered in **Figure IV-4-6**.

658
659 Importantly, in many of these visual agnosia cases, basic visual function
660 remains intact. Visual acuity, the ability to recognize colors, the ability to detect
661 motion, and other visual functions do not seem to be affected. This double
662 dissociation between “basic” visual functions and “higher level” visual abilities is
663 consistent with the idea of a hierarchy of computations that progressively extracts
664 more complex features from an image, from early image processing in the retina,
665 LGN, and primary visual cortex, all the way to structured shape information in the
666 inferior temporal cortex. Indeed, the visual agnosias are typically associated with
667 bilateral damage to visual areas beyond area V1, typically including the inferior
668 temporal cortex. As noted in the previous section, it is likely that many of these
669 basic visual functions can be implemented via connections in **Figure I-5** that
670 bypass ITC.

671
672 Would subjects with visual agnosia struggle with the drawing and shape
673 matching tasks for *any* type of object? How specific are the agnosias?
674 Intriguingly, several studies have reported cases of category-specific agnosias.
675 For example, some studies report a more significant deficit in recognizing “living
676 things.” Other studies describe an inability to recognize animals, tools, words, or
677 landmarks. One study reported a patient with an inability to name fruits or
678 vegetables presented through line drawings or photographs. The literature on
679 human lesion studies relating to visual symptoms points to remarkable and
680 sometimes highly specific deficits in visual shape recognition.

681
682 One specific form of agnosia has received particular attention in the
683 literature. *Prosopagnosia* (Proso (Greek): face) refers to the inability to visually
684 recognize faces with intact ability to identify other objects and shapes. Face
685 agnosia is also very rare and typically occurs after brain damage caused by
686 strokes in the right posterior cerebral artery. Some studies have also described a
687 congenital form of prosopagnosia. The fusiform and lingual gyri are typically
688 affected. Oliver Sacks emphasized the extreme nature of prosopagnosia in his
689 narrative about “*The man who mistook his wife for a hat*.” Prosopagnosic
690 subjects are often able to recognize people based on their voices, clothes, gait,
691 and other characteristics but not from photographs of the face. The extent to
692 which the effects should be described as face-specific has been debated
693 extensively. Some authors argue that the impairment in face recognition can be

694 better understood as a general difficulty in identifying exemplars from a class with
695 many similar stimuli and the degree of expertise with those stimuli.

696

697 **IV.9. Invasive electrical stimulation of the human brain**

698

699 *INSERT Figure IV-7 ABOUT HERE*

700 **Figure IV-7. Creating visual percepts by injecting currents into visual cortex.**
701 *Position of phosphenes (light flash sensation) in the visual field elicited by electrical*
702 *stimulation in human occipital cortex. The center circle indicates the fovea, and the*
703 *numbers identify the electrodes through which electrical stimulation pulses were*
704 *delivered. The symbols coarsely denote the size and shape of the elicited phosphenes.*
705 *Reproduced from Brindley and Lewin, 1968.*

706

707 Lesions are not the only way to study the causal function of a given brain
708 area. We switch gears now to consider another way of interfering with brain
709 function: injection of electrical currents. Wilder Penfield (1891–1976) was one of
710 the key figures in the invasive study of the human brain through his work with
711 epilepsy patients. As a neurosurgeon, he realized that he had direct access to
712 the inner workings of the human brain through his neurosurgical approach to
713 epilepsy. He worked extensively with patients suffering from pharmacologically
714 resilient epilepsy. In these patients, seizures cannot be stopped by current
715 methods of pharmacological intervention. In these cases, one of the best
716 approaches to eliminate seizures is to remove the epileptogenic focus, that is,
717 the part of the brain where seizures originate. In order to perform this type of
718 resection, the neurosurgeon has to be able to localize the epileptogenic focus
719 and also functionally map the area to ensure that there will not be any other
720 adverse cognitive symptoms as a consequence of the procedure. Guided by
721 these clinical needs, neurosurgeons invasively implant multiple electrodes in
722 these patients; the patients stay in the hospital for about one week, with their
723 electrodes in place in order to accumulate sufficient data. During this week, it is
724 possible to interrogate human brain function with a much better signal-to-noise
725 ratio, much better spatial resolution, and much better temporal resolution than
726 any other method to study human brain activity from the outside.

727

728 Because the epileptogenic focus is resected in most of these patients, it
729 is also possible to study the behavioral consequences of removing a part of the
730 brain. One of Penfield's seminal studies described the role of the medial temporal
731 lobe in memory consolidation in patients that underwent bilateral removal of the
732 hippocampus and surrounding areas. Removal of the hippocampus on both
733 hemispheres leads to severe problems in memory consolidation: the patient can
734 see and visually interpret the scene normally, can hold a normal conversation,
735 can reason, and perform a variety of cognitive tasks. In fact, after talking with
736 such a patient for a few minutes, it would be hard to detect anything out of the
737 ordinary. Even though the hippocampus appears at the pinnacle of the
738 anatomical diagram of the visual system in **Figure I-5**, all the evidence to date
739 suggests that the hippocampus is not a visual area. The distinct characteristics of
740 patients with bilateral excisions of the hippocampus are only manifested when

741 considering the memory system. If you were to talk to the same patient the next
742 day, the patient would have no recollection of what had happened during your
743 first meeting. Because of those studies, only unilateral resections are performed
744 nowadays.

745
746 Penfield was also one of the pioneers in performing neurophysiological
747 recordings from intracranial electrodes in the human brain. Furthermore, he also
748 extensively studied the behavioral effects triggered by electrical stimulation
749 through subdural electrodes while the subjects were awake and readily reported
750 their percepts. Electrical stimulation is a standard procedure that is used routinely
751 in hospitals throughout the world. Because there are no pain receptors in the
752 brain, this is not a painful procedure, and subjects can be awake during brain
753 surgery, which often turns out to be quite useful from a clinical standpoint. It is
754 quite important in these cases to work with subjects who are awake to be able to
755 map cognitive function before resection. In particular, neurologists and
756 neurosurgeons are concerned about language functions, which often reside
757 close to epileptogenic areas. The goal is to treat epileptic seizures without
758 affecting any other cognitive computation. One of the most famous discoveries
759 from Penfield based on these electrical stimulation studies is the *cortical*
760 *homunculus*, a mapping of the human body in the motor and somatosensory
761 areas around the precentral gyrus.

762
763 Upon stimulating a given location, he asked the subjects to report their
764 sensations verbally. Penfield would identify the stimulation locations by numbers.
765 For example, the first time he stimulated electrode “5,” the patient did not reply.
766 Upon a second stimulation pulse in the same location, the patient said,
767 “Something.” The fourth time, he reported hearing, “People’s voices talking.”
768 Penfield switched to electrode “7”. The first pulse in electrode “7” elicited the
769 following response: “Like footsteps walking – on the radio.” Upon the third
770 stimulation pulse in electrode “7”, the subject explained, “it was like being in a
771 dance hall, like standing in the doorway – in a gymnasium – like at the Lenwood
772 High school.” Twenty minutes later, Penfield moved back to electrode “5,” and
773 the subject reported, “People’s voices.”

774
775 Some of the observations are transcribed here *verbatim* to illustrate the
776 exciting opportunities in terms of the questions that we can ask by obtaining
777 direct verbal reports from stimulating human cortex. At the same time, the
778 example illustrates how challenging it is to interpret the output of these
779 fascinating but anecdotal reports. What exactly is being stimulated in these
780 studies? How many neurons are activated? What type of neurons are activated?
781 How reproducible are the effects over multiple repetitions? How does the answer
782 to these questions, and the ensuring behavioral reports, depend on the
783 parameters of stimulation like the pulse duration and intensity? How do the
784 conclusions depend on the metrics used to assess the behavioral output? What
785 does the subject feel during electrical stimulation? To what extent is the
786 subjective report influenced by the environment (doctors, nurses, hospital)? How

787 can we map these fascinating reports obtained via electrical stimulation to our
788 understanding of the functions of cortex? There is a rich experience lost in
789 translation.

790

791 In some cases, electrodes are placed in parts of the visual cortex.
792 Particularly when electrodes are placed in early occipital cortex, several
793 investigators have demonstrated that it is possible to elicit perceptual light
794 flashes denominated “phosphenes.” An example of such an experiment is
795 illustrated in **Figure IV-7**. Upon injecting currents, the subject was asked to report
796 the shape and location of what they perceived. In most cases, the subject
797 reported seeing approximately circular flashes of light, in a few cases like
798 electrode 9, subjects reported seeing elongated lines. We briefly alluded to
799 neurons in primary visual cortex (V1) showing tuning for lines of different
800 orientations in **Chapter I**, and we will discuss neuronal tuning preferences in
801 more detail in **Chapter V**. Based on the idea that V1 neurons are excited by
802 oriented bars, one may expect to see more lines in **Figure IV-7**. However, these
803 coarse stimulation experiments probably activate an enormous number of
804 neurons, probably encompassing many, if not all, orientations. Therefore, it is not
805 too surprising that the main report does not show much feature specificity.

806

807 Interestingly, the perceptual experience triggered by stimulating early
808 visual cortex is consistent with our understanding of the topographic organization
809 derived from lesion studies described above (**Figure IV-3**). This organization is
810 also consistent with the neurophysiological recordings that we will discuss in
811 **Chapter V**. First, the location of phosphene experiences in the visual field
812 depends on the exact area of stimulation. Those phosphenes are localized,
813 consistent with the idea that multiple neurons with overlapping and constrained
814 receptive field sizes are being activated. Second, injecting currents through
815 nearby electrodes (e.g., electrodes 27, 31, 34, 35 in **Figure IV-7**) triggers
816 phosphene sensations in nearby locations in the visual field, as we would expect
817 based on the topographical organization of visual cortex. Third, the approximate
818 size of the experienced phosphene increases as we move away from the fixation,
819 consistent with the increased receptive field sizes as a function of eccentricity.

820

821 Following up on the seminal studies of Penfield, several other
822 investigators used electrical stimulation in epilepsy patients to map function in
823 human cortex. For example, investigators have described multiple subjective
824 experiences elicited after stimulation of the temporal lobe, including visual
825 illusions, both elementary visual hallucinations (phosphenes), and complex visual
826 hallucinations. In addition to visual illusions, electrical stimulation in the temporal
827 lobe elicits a large number of other experiences, including fear, thirst, familiarity,
828 the feeling of déjà vu, and memory reminiscences.

829

830 An elegant study by Murphey and colleagues further examined the
831 relationship between electrical stimulation and neurophysiological recordings.
832 They examined an area that responded to colors, more specifically, to the blue

833 color, according to neural recordings. They subsequently used a psychophysical
834 task to ask whether subjects could determine the effects of electrical stimulation.
835 Subjects reported perceiving a blue hue upon electrical stimulation, consistent
836 with what the authors predicted based on their neurophysiological findings.

837
838 Phosphenes, visual hallucinations, and perception of color are examples
839 of a novel perceptual sensation elicited by the injection of current in the absence
840 of concomitant visual stimulation. Many other studies have focused on evaluating
841 the effects of stimulation over a concomitant visual stimulus. In such cases,
842 results show that electrical stimulation typically interferes with the current
843 percept. For example, several studies have shown that applying electrical
844 stimulation through electrodes near the fusiform gyrus distorts or impairs the
845 ability to perceive faces.

846

847 **IV.10. Electrical stimulation in primate visual cortex**

848

849 While the possibility of electrically stimulating human cortex is quite
850 exciting, a lot of the observations have been fascinating, yet mostly anecdotal,
851 due to the difficulties inherent to a low number of trials and large electrodes with
852 coarse mapping to neuronal responses. Many investigators have used electrical
853 stimulation through microelectrodes in the macaque monkey visual cortex. The
854 type of microelectrodes used in animal studies is smaller (about 50 microns in
855 diameter) than the ones used in humans (about 2 millimeters in diameter; only a
856 handful of cases have used microelectrodes for stimulation in the human brain).
857 Thus, the number of neurons activated via electrical stimulation in animal studies,
858 though still very large, is smaller than the number of neurons excited in human
859 studies.

860

861 *INSERT Figure IV-8 ABOUT HERE*

862 **Figure IV-8. Schematic representation of an electrical stimulation experiment in**
863 **area MT of the macaque monkey. A. Schematic responses of a neuron that responds**
864 **selectively to leftward motion. B. Random dot stimuli with no coherence (left), high**
865 **leftward motion coherence (right), and medium leftward motion coherence (center). C.**
866 **Reported perception in the absence of electrical stimulation. D. Hypothesized perceptual**
867 **reports when stimulating neurons around the one shown in part A (based on the work of**
868 **Salzman et al. (1990)).**

869

870 One of the seminal studies in monkeys involved electrical stimulation of
871 the MT area, which we introduced in **Section IV-2** as an area critical for motion
872 discrimination based on physical lesions in monkeys, and in **Section IV-6** as the
873 likely area responsible for impaired motion perception in akinetopsia. Area MT
874 receives direct input from area V1 (and also inputs from other areas like V2),
875 coming from the magnocellular layers in the LGN. Neurons in area MT are
876 selective for motion direction within the receptive field; for example, a neuron
877 may respond strongly to a bar moving to the left and not to a bar moving to the
878 right (**Figure IV-8A**).

879

880 A typical stimulus used to drive MT neurons is a display consisting of
881 many dots moving in random directions (**Figure IV-8B**). A given percentage of
882 the dots is set to move coherently in one direction. Depending on the percentage
883 of coherent motion, the stimulus can elicit a strong motion percept. A typical
884 sigmoid psychometric curve can be plotted (both for humans as well as
885 monkeys), showing the proportion of trials in which the subject reports that the
886 dots are moving in one direction as a function of the degree of coherence of the
887 dots in the display. If 100% of the dots move coherently in one direction, subjects
888 report movement in that direction in all the trials. If 0% of the dots move
889 coherently (all dots are moving randomly), then subjects report random
890 movement in one direction or the other (**Figure IV-8C**).

891
892 William Newsome's team at Stanford trained monkeys to report their
893 perceived direction of motion while recording the activity of neurons in area MT.
894 Recording from in area MT, the investigators started the experiment by mapping
895 a neuron's preferred direction of motion. In a typical experiment, a fixation spot
896 comes up, monkeys are required to fixate, the visual stimulus is displayed for one
897 second, the stimulus disappears, and the monkey needs to indicate the direction
898 in which the dots were moving in a two-alternative forced-choice paradigm (e.g.,
899 by making a saccade to one of two possible targets). The direction of motion in
900 each experiment is aligned to the neuron's preferred direction so that the dots
901 move either in the preferred direction or in the anti-preferred direction.

902
903 *INSERT Figure IV-9 ABOUT HERE*
904 **Figure IV-9.** Results of an electrical stimulation experiment in area MT of the macaque
905 monkey. The plots show the behavioral psychometric function in discriminating the
906 neuron's preferred motion direction in the presence (filled circles) or absence (empty
907 circles) of electrical stimulation (see text for details). Reproduced from Salzmann et al.
908 (1990).

909
910 Based on the neurophysiological recordings, the investigators asked
911 whether electrical stimulation through the same microwire would bias the
912 monkey's visually evoked behavior in the motion discrimination task and whether
913 this bias would be consistent with the neurophysiological preferences. To answer
914 this question, they applied very brief electrical pulses (10 μ A biphasic square
915 pulses with 200 Hz frequency and 0.2 msec duration). Electrical stimulation was
916 applied in the center of regions where there was a cluster of neurons with similar
917 motion preferences within ~ 150 μ m. As in other parts of neocortex, there is a
918 topographical organization of neuronal preferences in area MT, that is, nearby
919 neurons in MT typically have similar motion direction preferences. This
920 topography is presumably important in terms of understanding the effects of
921 electrical stimulation because activating many local neurons with similar tuning
922 properties may lead to stronger behavioral effects than activating neurons that
923 are spatially organized in a completely random fashion with respect to their
924 tuning properties. Monkeys were rewarded on correct responses. The results of
925 such experiments are illustrated in **Figure IV-9**. In the absence of
926 microstimulation (empty circles), monkeys showed the typical approximately

927 sigmoid psychometric curve. Monkeys reported the preferred direction of motion
928 in >80% of the trials when the dots had 30% correlation in the preferred direction,
929 and they reported the anti-preferred direction of motion in >80% of the trials when
930 the dots had 30% correlation in the anti-preferred direction. In the 0% correlation
931 condition, monkeys reported one or the other direction with close to 50%
932 performance. Remarkably, upon applying electrical stimulation (filled circles),
933 there was a shift of the psychometric curve. Monkeys reported movement in the
934 preferred direction more often (~15% more often) than in the absence of
935 electrical stimulation. This causal increase due to electrical stimulation is a
936 significant finding because it provided compelling evidence that the
937 neurophysiological recordings revealed a signal that could translate into
938 behavioral decisions upon electrical stimulation of the relevant neuronal circuits.

939
940 In a similar experiment, Arash Afraz and colleagues stimulated macaque
941 inferior temporal cortex during a visual recognition task. The lesion studies
942 indicate that the ITC area is important for visual shape recognition (**Section**
943 **IV.7**). The experiment followed the structure of the Newsome study in **Figure**
944 **IV-8-9**. Because neurons in ITC are more interested in complex visual shapes
945 rather than motion direction, the investigators compared responses to faces
946 against responses to other shapes. The choice of faces as one of the two stimuli
947 may have been an important methodological point. First, it may be easier to train
948 monkeys to recognize 2D renderings of faces compared to other shapes.
949 Second, there may be a larger cluster of neurons responding to similar faces
950 compared to other shapes. The investigators presented faces and other non-face
951 images embedded in noise. The noise level changed from 100% (pure noise
952 stimulus) to 20%; noise in this experiment plays a similar role to coherence in the
953 Newsome experiments.

954
955 As we will discuss in **Chapter VI**, ITC neurons showed visually selective
956 responses; the investigators focused on sites that revealed consistent enhanced
957 responses to faces within an area of approximately $\pm 150 \mu\text{m}$. The investigators
958 applied electrical stimulation in those regions and evaluated the extent to which
959 the monkeys reported seeing faces or not for stimuli with different levels of noise.
960 On average, the investigators were able to elicit a ~10% change in the monkey's
961 behavior, increasing the number of times that the monkeys reported seeing faces
962 (even in cases where information about faces was minimal due to the noise).
963 Furthermore, the behavioral effects elicited by electrical stimulation were
964 correlated with the degree of selectivity of the neurons: stimulation of more
965 selective sites led to stronger behavioral biases.

966
967 In sum, across different areas within visual cortex, injecting currents into
968 many neurons that show selectivity for specific stimulus features can bias a
969 monkey's responses towards reporting seeing those specific features. Even in
970 cases where the stimulus consists of random noise, it is possible to bias behavior
971 in a way that can be predicted by the neurophysiological responses. These
972 experiments provide a strong causal link between specific and selective neural

973 activity and visual perception. Additionally, these experiments constitute an
974 intriguing form of injecting specific visual thoughts into the brain.

975

976 **IV.11. Summary**

977

978 • Inactivating areas of visual cortex leads to specific visual deficits ranging
979 from localized *scotomas* (primary visual cortex) all the way to impairment
980 in recognition of complex shapes (inferior temporal cortex).

981

982 • Without primary visual cortex, subjects are essentially blind. Very limited
983 and basic visual capabilities remain in the absence of primary visual
984 cortex.

985

986 • Lesion studies have delineated two main processing streams: (1) a
987 *dorsal/where/action path* that is particularly relevant to detecting motion,
988 interpreting stimulus locations, and spatially acting on visual stimuli, and
989 (2) a *ventral/what path* that is more concerned with discriminating colors
990 and shapes.

991

992 • Although brain lesions in humans are difficult to fully interpret due to their
993 rarity and accidental nature, they have revealed a plethora of fascinating
994 observations mapping visual deficits to localized circuits in the brain.

995

996 • Several cases have been reported of *agnosias* where subjects have
997 specific visual discrimination challenges while maintaining otherwise
998 normal vision.

999

1000 • Electrical stimulation in early human visual cortex leads to the perception
1001 of *phosphenes*. The location and size of those phosphenes are consistent
1002 with our understanding of the topographical organization of early visual
1003 cortex.

1004

1005 • Stimulating other parts of human visual cortex during concomitant
1006 presentation of a visual stimulus can lead to specific perceptual disruption.

1007

1008 • Microstimulation experiments in monkeys have shown that it is possible to
1009 bias the animal's behavior in a way that is consistent with predictions
1010 based on the neurophysiological responses of neurons in the stimulated
1011 area.

1012

1013 **IV.12. Further reading**

1014

1015 See <http://bit.ly/3abKBpP> for more references.

1016

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- 1029

Figure IV-1

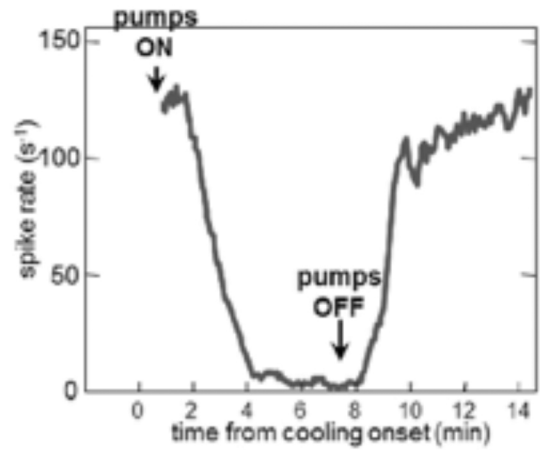
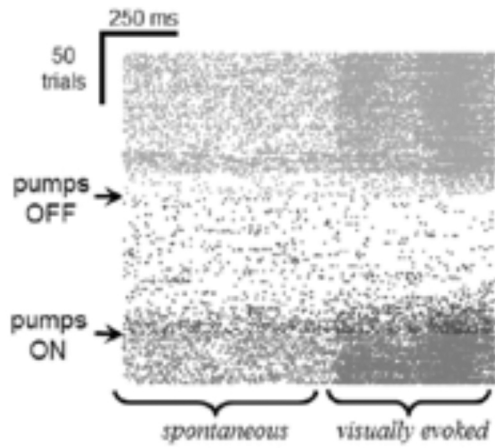


Figure IV-2

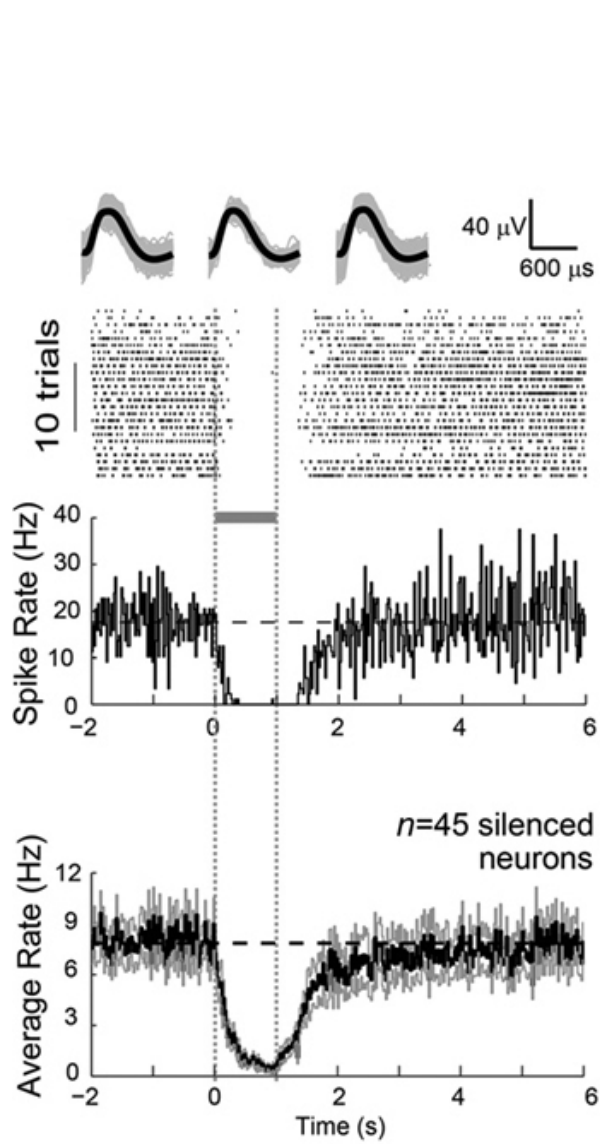


Figure IV-3

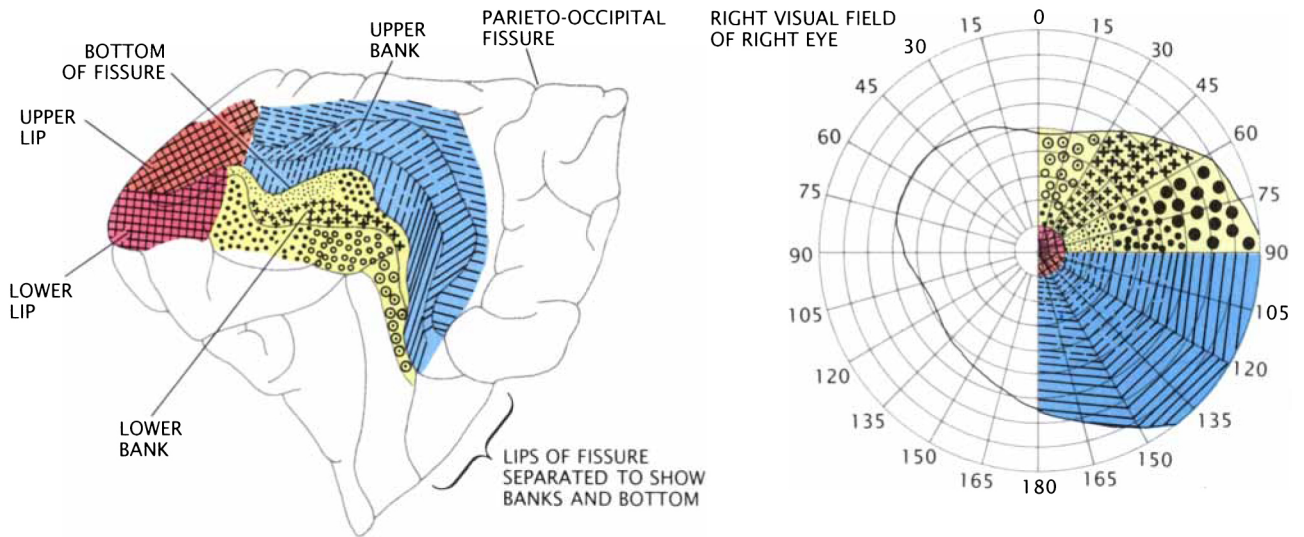


Figure IV-4

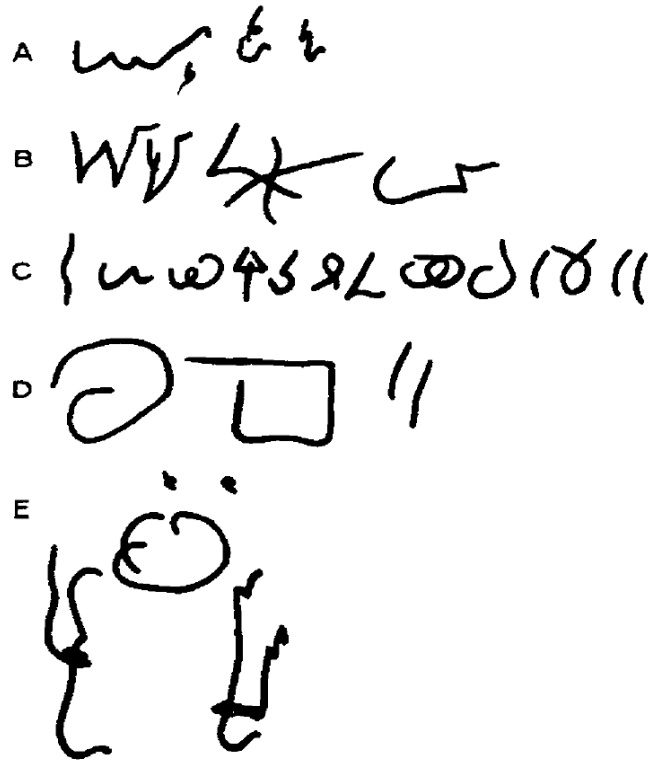


Figure IV-5

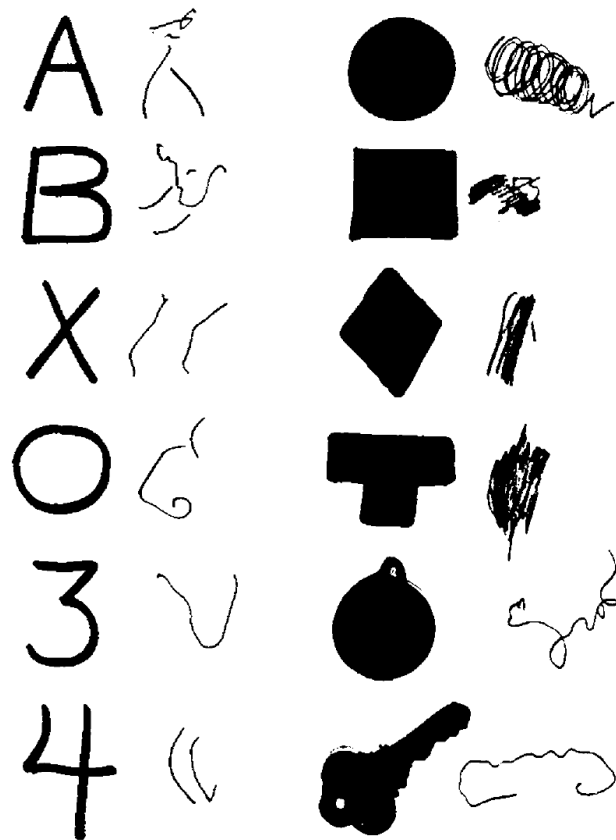


Figure IV-6

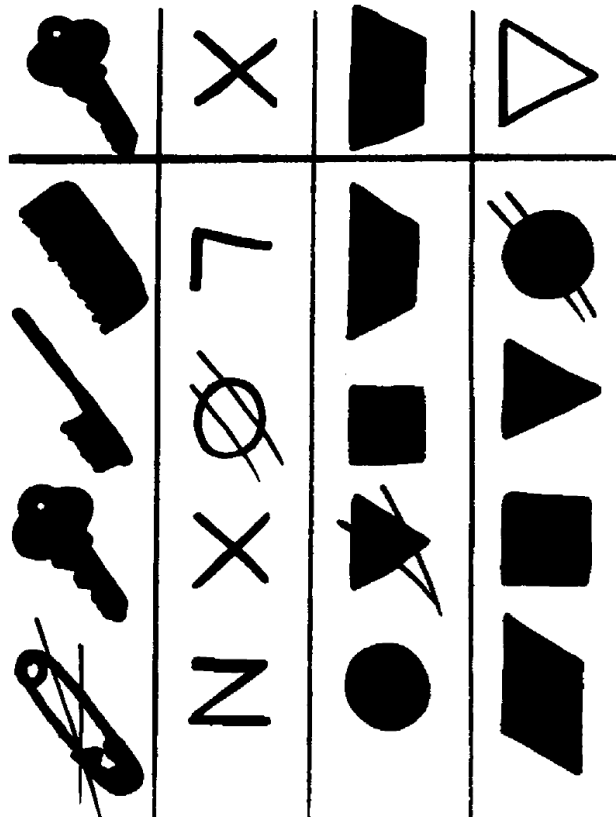


Figure IV-7

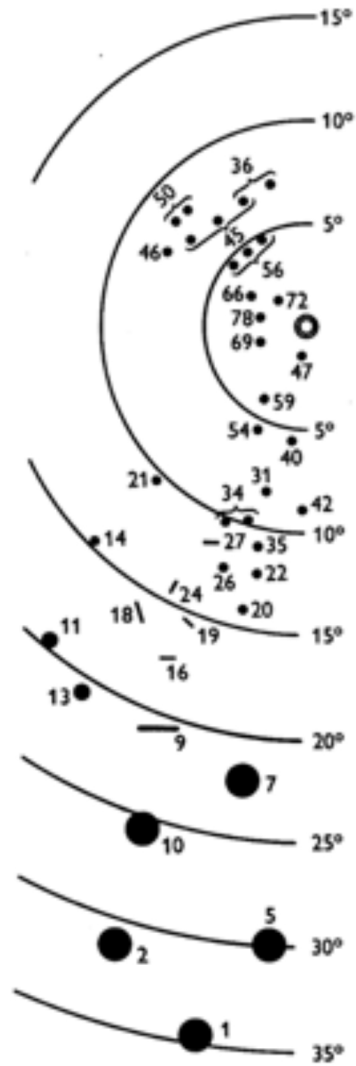


Figure IV-8

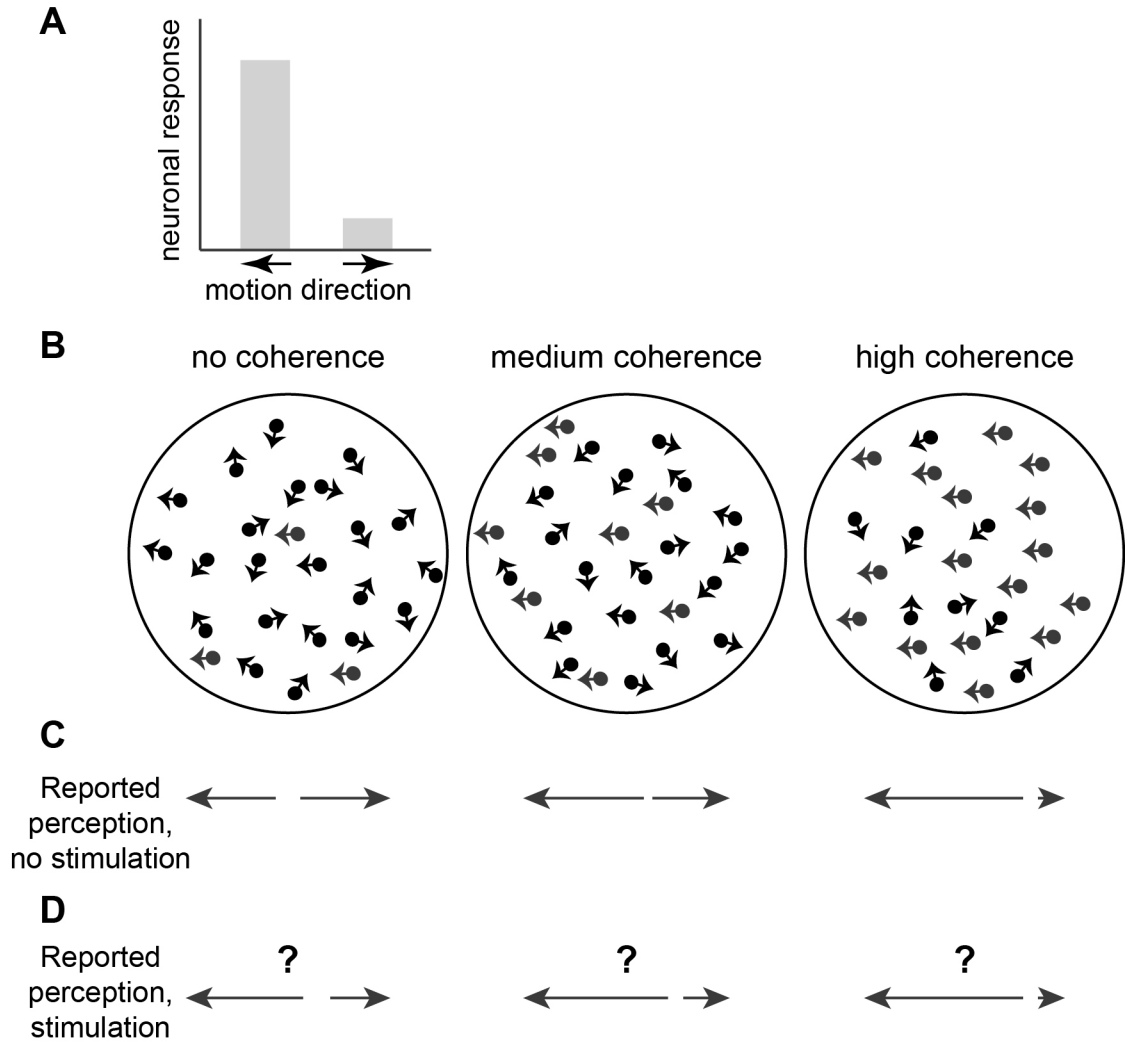


Figure IV-9

