Spike Field Coherence Reveals Complex Cortical Interaction in Human Visual Memory Task

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ABSTRACT

Memory formation is an integral aspect of understanding human cognition. Although previously the hippocampus was indicated as the central hub of memory, in recent years, research has shown that neocortical interactions are also involved. Theta oscillations have been indicated as a possible mechanism for sensory integration, facilitating the formation and recall of memory. In this study, we examined the interaction between spikes and the theta-band (3-8Hz) in the Local Field Potential (LFP), both in the surrounding LFP and in the LFP signal from distant areas, in a Mooney image recognition task in human epilepsy patients with implanted micro-wires. We found that there are few areas that show a difference in Spike Field Coherence (SFC) between novel and recognized Mooney images, for interactions of spikes and the theta band within one recorded channel. However, when examining modulation of firing pattern with theta rhythms of distant regions, we revealed complex interactions in a wide range of cortical areas. Surprisingly, the parahippocampal gyrus and the occipital lobe are affected most strongly in a distinction between novel and recognized trials, rather than the hippocampus as previously expected. Our results indicate that a wide range of cortical areas play an important role in memory formation. Furthermore, we provide more evidence for the hypothesis, that the theta frequency band provides a mechanism for information transfer between neuronal circuits.

INTRODUCTION

Theta oscillations (3-8Hz) in the hippocampus are a widely reported phenomenon of the local field potential (LFP) of intracranial recordings1,2. They are a prominent feature of the hippocampus during memory task engagement in rodents3 and humans4,5. The theta frequency band of the hippocampal LFP is functionally linked, among other things, to spatial navigation in rodents, and humans in virtual environments6 and real-world movement7. Although most of the research on the theta frequency band has been focused on the hippocampus, other cortical areas such as the occipital, temporal, and parietal lobes, also show increased theta power during nonspatial working memory tasks8,9.

A large portion of neurons in different structures of the brain are driven by theta rhythms. Single neuron activity is modulated by the theta oscillation, most prominently in the hippocampus, where a large portion of neurons show preference for a specific phase of the theta cycle10,11. This phase-dependent firing of neurons across the brain is involved with different tasks12. The theta-phase-specific firing of hippocampal cells is shown to be an important component of spatial navigation tasks in rodents, known as phase precession13. Theta-locked V1 neurons of the visual cortex show elevated firing rates during their preferred theta phase, when holding information about a visual stimulus in working memory14. During periods of reward expectancy orbitofrontal neurons in the rat brain, also showed significant locking to the ongoing theta-oscillations15. Shown in preferred phase, reveal a more nuanced feature of the interaction between spikes and LFP signals. Hippocampal neurons show a preference for the trough of the theta oscillation when the rat is engaged in the memory formation component of a task as compared to a preference for the peak when engaging in memory recall16. Siegela and Wilson17 showed that phase-specific disruption of the ongoing hippocampal theta oscillation, using optogenetic stimulation in CA1, inhibits task performance. This effect was only elicited if the stimulation coincided with the preferred phase of corresponding task segment.

It has been suggested that the phase dependence of neurons in the brain to specific frequency bands is a crucial mechanism for integration and temporal synchronization of information between the distant areas of the brain18. Neurons in a variety of cortical regions synchronize their firing with the hippocampal theta rhythms: During spatial navigation of rodents a significant portion of the neurons in the medial prefrontal areas show phase preference to the hippocampal theta rhythm10,12,19,20. O’Keefe et al.21 found a similar relationship between the hippocampal LFP and entorhinal grid cells. The phenomenon of theta locking is widespread throughout the neo-cortex. However, the complex interactions between spiking behavior and LFP across different areas is still poorly understood.

In this study, we expand previous findings on modulation of firing behavior by the theta frequency band to a large set of cortical areas. We investigated this effect in an object recognition task using novel vs learned stimuli of identical Mooney images. The stimuli consist of pairs of two corresponding images, one being a grayscale scene with a target object to be identified and a second, heavily pixelated, scaled-down black and white image, complementary to a grayscale image depicting common objects. Mooney images are easily recognizable after few exposures to the original grayscale image22. We recorded LFP and spike data from human epilepsy patients with the use of micro-wires. The strength of the phase-locking can be quantified using the Spike-Field-Coherence (SFC), which is a measure of the relative power of frequency specific oscillations present in the LFP signal centered around the spikes23. High SFC indicates synchrony between spike trains and frequency specific signals within the LFP and has been shown to be a predictor for human memory strength in a working memory task24.

We report a large portion of all isolated neurons, about 85%, to be significantly phase-locked to the theta rhythm of the surrounding LFP. We do not find changes in preferred phases of recognized vs novel stimuli. However, the different conditions elicit SFC changes in various areas and we report a multitude of interactions between spikes in one and LFP of distant areas, indicated by changes in the SFC. Areas that show the most consistent effects include the occipital lobe and the parahippocampal gyrus.
RESULTS

Theta filtered LFP response to Stimulus Presentation

We presented two different types of stimuli, consisting of pairs of grayscale and Mooney images. The grayscale images depicted common scenes like animals, buildings, and objects. The complementary Mooney image depicted the same scene as the grayscale version thresholded to black and white, resulting in an image difficult to identify (Figure 1A). Each image pair was presented multiple times in varying succession of Mooney and grayscale image, where each Mooney image was presented at least once before its complementary grayscale image was shown. Mooney images shown before the grayscale version (GS) of that image were shown, are named pre-Grayscale (preGS) and images shown after the grayscale version are named post Grayscale (postGS). The subjects had to indicate knowledge about the scene with a button press and subsequently identify the presented object verbally. Without predisposition to the GS image of the same scene the preGS images were difficult to identify. Both GS and postGS images showed a significant increase in correct identification of trials across patients (t-test, p < 0.001) (Figure 1B) compared to the preGS conditions. Subjects were epilepsy patients (n = 13) implanted with micro-wire-electrodes in multiple locations across the brain, allowing for simultaneous recordings of the cortical signal in different areas25. The experimental setup allowed us to investigate the differences in cortical signaling between novel, unrecognized Mooney images (preGS) and later, recognized repetitions (postGS).

The local field potential is the low frequency (0-300Hz) component of the recorded micro-wire signal. We further filtered the LFP in the 3-8 Hz range using a bandpass Kaiser window filter. To measure the modulation of the theta oscillations between preGS and postGS trials, we calculated the area under curve (AUC) of the filtered signal for two
were phase-locked, close to the 80% of phase-locking of neurons that has been reported in the rodent hippocampus\textsuperscript{20}. Most areas show a high proportion of neurons locked to the theta rhythm, with many areas ranging around 90% phase-locking (for a complete list of phase-locking separated by area see Supplementary Materials 1). Neurons in contralateral areas showed similar proportions of phase-locking, with a mean difference of 12.2% (±13.1 st.dev.). Phase-locking has been previously connected to different functional processes such as spatial navigation and object memory tasks in rodents\textsuperscript{13,16,17,21}. Our findings add more information to the relation of neuronal populations in different areas of the brain and theta oscillations.

### Table 1 Stimulus modulated LFP regions

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<th>% sign.</th>
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<tr>
<td>Right Parietal Cortex</td>
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Table 1 Shows are the channels in each region that show a significant difference between the LFP response (AUC) in pre and post GS trials in the 500ms window after stimulus onset (p < 0.0016, FDR corrected t-test). Prior to analysis all channels that showed a significant difference between the 2 conditions in the Baseline Window (p < 0.05, t-test).

To eliminate non-task-related effects, all channels that showed a significant difference between the three conditions (ANOVA, p < 0.05) in the Base window were not considered further. We performed further analysis between preGS and postGS conditions only, as the feature of interest was the differences in cortical processing of unrecognized versus identical images, rather than differences in stimulus responses that might be induced by the different images presented in the GS condition. In order to test the population response to differences in stimuli, we performed t-tests of the AUC of the filtered LFP in the Stim window for each channel separately. To find a reliable p-value threshold that was unaffected by the multiple comparison problem, we calculated the False-Discovery-Rate with an allowance of 0.01 (Methods). A comprehensive list of the portion of significant channels for each area is shown in Table 1.

Areas with the highest percentage of modulated channels include the occipital lobe (55.56% and 31.58%; left and right respectively), the left parahippocampus (39.02%), and the right posterior temporal lobe (28.57%). These areas have been previously indicated to show modulation in human LFP theta band activity during memory tasks\textsuperscript{11,12,24}. The lack of consistent changes in population activity in the hippocampus is surprising but could indicate that the hippocampal engagement in memory tasks is dependent on specific spike timing rather than changes in the population response.

### Phase-locking

To analyze if neurons in different regions were phase-locked to the theta frequency (3-8 Hz), we transformed the LFP signal using MATLAB’s complex Morlet wavelet function (Methods). This allows us to extract the instantaneous phase at the time of each spike occurrence in a specific frequency band. Figure 2A shows an example of the circular distribution of phase values for a specific frequency of a single neuron. We used the Rayleigh test for non-uniformity around the circle to indicate if the neuronal activity is gated by the phase of an oscillation (p < 0.0083, Bonferroni corrected for multiple comparisons; Figure 2B).

In total 1226/1433 (85.5 %) of all neurons were phase-locked to the theta rhythm, a proportion significantly larger than reported in previous human micro-recording in the MTL\textsuperscript{24}. To see if the proportion of theta-locked neurons varied across sites we looked at neurons of each location separately. We found that 91/132 (68.9%) of neurons in the hippocampus

Same electrode Spike Field Coherence

Next, we were interested in the modulation of the strength of the phase-locking by different conditions, measured in the Spike Field Coherence (SFC). SFC describes the precision of phase-locking of spikes to specific frequencies of the LFP band. It is computed using the frequency spectrum of the spike triggered average (STA) and the average frequency spectrum of all LFP traces, used to compute the STA, and is thereby normalized for the power present in the LFP. SFC takes values from 0-100%.

\[
SFC(\omega) = \left( \frac{\text{STA}(\omega)}{\text{STP}(\omega)} \right) \times 100\%
\]

As previously noted, we only compared the preGS and the postGS conditions. For each neuron we calculated the SFC as the average of all images (using spikes in the 500ms post stimulus window of all repetition) and took the average SFC of frequencies in the theta range (3-8 Hz) (Figure 2C/D). We constructed scatter plots, showing the preGS SFC against the postGS SFC for all neurons (Figure 3B). Points above the mid-line indicate that the postGS SFC for that neuron is larger than the preGS SFC. We determined whether stimulus condition significantly modulates the SFC by calculating the ratio of neurons above and below the mid-line and estimating the chance of that ratio randomly occurring in randomly assigned groups (permutation tests, n = 1000, methods).

There were no significant areas with significant difference in ratios, except the following two: (1) in the left occipital lobe that showed a larger SFC in the preGS trials as compared the SFC in the postGS trials (p < 0.001, permutation test) and (2) the right amygdala, with a slight increase in postGS values (p < 0.025, two-sided permutation test). The observed effects due to memory modality may be specific to object recognition in Mooney images. The lack of differences in Spike Field Coherence between novel and recognized stimuli suggest that synchronization of neurons with their immediate surrounding is not an important factor in determining engagement in memory formation or recall.

### Inter-regional Spike Field Coherence

To investigate how different forms of task engagement affect inter-area coherence, we performed the same SFC calculation as described in the previous section, using the spikes of one region and the LFP of all other recording sites in the same patient. We expected that integration of information between different structures plays an important role in memory recall and formation\textsuperscript{17,18}. As previous research has shown, the locking of spikes in one structure to the ongoing oscillations in another, could be a mechanism that is involved in the transfer of information among them\textsuperscript{13,15,20}. Constructing the same scatter plots and performing the same permutation test as described earlier (Figure 3B/C), we obtain p-values for all pairs of regions (Figure 3D). This figure
depicts significant modulation of the SFC in a large variety of regional pairs.

All regions show at least one significant interaction with one other region. The occipital lobe and the parahippocampus were most closely linked to other structures, in that the difference of preGS and postGS Mooney images significantly modulated most of their interactions.

Interestingly, the direction of the effect is varied across regions. For example, in the occipital lobe most interactions show an increase in SFC during the preGS trials, whereas interactions of the parahippocampal gyri with other regions show predominantly an increase during the recognized postGS trials. This result suggests that during different modalities of memory, e.g. memory formation during the novel, preGS trials and memory recall or consolidation, during the recognized postGS trials, interaction between areas play different roles.

Although the hippocampus has been widely reported to be generally involved in memory formation and recall[1,17,27], we only find sporadic modulation of the interaction between the hippocampus and other regions by different conditions (Figure 3D).

These results suggest that there is a complex network of interactions between different structures involved in the formation and recall of memory. Differences in SFC values between the two conditions show that precise spike timing to the theta rhythms plays an important role.
DISCUSSION

Our results provide further evidence that the coherence between spikes and the LFP theta band plays an important role in the encoding and recall of memory in cortical and MTL structures. Although theta-locking is widely present in most areas, the presentation of novel versus learned Mooney images significantly modulated the SFC of neurons to the immediate surrounding in only 2 of the 15 areas. This suggests that the precise timing of the spikes to the theta oscillation in surrounding tissue is not an important factor in either object recognition and memory recall, as those processes best distinguish the trials of novel and recognized Mooney images.

However, we found that presentation of novel and the recognized Mooney images induced modulation in coherence of spikes to the theta rhythm from distant regions. The occipital lobe and the parahippocampus showed consistent changes in the strength of spike timing with most of their paired areas and neurons. Although the occipital lobe is largely seen as a visual processing module, our results indicate a link to memory formation and recall. This is further evidence for the hypothesis, that cortical interactions play an important role in memory formation, as opposed to the idea, that memory processes are confined to the medial temporal lobe (MTL) regions. A connection can be drawn between this example of a visual recognition tasks and the effects found in the visual areas of the occipital lobe. Examining SFC responses in auditory areas during an auditory memory task could provide further insight in the modality of memory formation and could highlight involved neural circuits.

Currently, the synchronization of spike trains to LFP oscillations has unknown origins. It has been shown that projections from different areas of the MTL such as the Ventral Tegmental Area (VTA) and the Locus Coeruleus (LC) drive hippocampal theta rhythm\(^{26-31}\); and hippocampus theta rhythms drive spiking activity of neurons in a variety of regions\(^{12,20}\). However, which cellular mechanisms drive these interactions remains unclear and further research is required to draw definite conclusions on what drives the synchronization of spikes to specific frequency rhythms.
Although there are a wide range of reports on the importance of spike timing to the LFP for memory engagement, it remains difficult to draw clear links to function and behavior, due to the complexity of the interaction. Studies carried out by Siegle & Wilson showed that peak and trough disruption of the theta cycle, using optogenetic stimulation in the hippocampus during memory formation and recall, affects performance of spatial navigation in rodents. Similar studies could investigate if the same effects can be elicited by disrupting different areas.

Spike-timing dependent plasticity (STDP) has long been indicated as a crucial mechanism for sensory integration and memory formation. It remains to be seen if STDP could be a driving factor of facilitating STDP between neuron populations in distant regions.

Our result suggests, that processes of memory formation are not limited to hippocampal-cortical interaction. We have shown, that Spike timing to the theta rhythm is significantly modulated by novel vs recognized identical in a range of cortical areas and that these effects extent to interregional connections. These findings present a basis upon which future research can built to explore the importance of both spike timing and LFP theta activity for memory formation within different neuronal circuits.

METHODS

Recording, Stimulus presentation
We recorded micro-wire signals from 13 epilepsy patients over 36 sessions, engaged in a Mooney image recognition task. The Stimuli were presented in blocks of 60 images. Each set of images consisted of a Mooney image and a corresponding grayscale image showing the same object. All stimuli were presented for 500ms. The Mooney image was presented first in the pre-grayscale condition (preGS). If the subject recognized the object, they could respond with a button press. In case of a negative response, indicating no recognition, or no button press, the same image was presented again 1300ms after the first one. After multiple repetitions of different Mooney images, the grayscale (GS) version of the same images were shown. Again, the subject could give a response with a button press. After the patient indicated they recognized the object, a prompt showed up asking to report the perceived object. The experimenter marked the response as correct or false. If the response was correct the Mooney image version (postGS) was shown back to back with the GS to reinforce learning. This process was repeated until the Mooney images were correctly classified or until the patient was unable to classify the item 15 times. Trials of the preGS condition, that were recognized by the patient, as well as all trials that were not correctly recognized in the GS and postGS conditions were not considered further.

Spike Sorting
Spike sorting was done in 3 steps. First the signal was band-pass filtered 300-3000Hz and subsequently the signal was notch-filtered for all harmonics of 50 Hz. As a last step the spikes were sorted using the Osort-method described by Rutishauser et al.

Filter and LFP analysis
To obtain the LFP, the signal was low-pass filtered with a cutoff at 300Hz and notch filtered at 50Hz and all its harmonics. To analyze the effects of the different stimuli conditions in a specific frequency band, the signal was again filtered using a band-pass filter on the specified frequency range with a 3rd order Kaiser window. Each electrode was analyzed on differences between the three conditions in the window 500ms before stimulus presentation (Baseline) using the area under curve (AUC). AUC was computed as the integral of the LFP on that time window, formally:

\[ P_t = \int_a^b S_i(t)^2 dt \]  

Where \( S_i(t) \) is the filtered LFP signal of trial \( i \) and \( a \) and \( b \) denote the time window relative to stimulus onset. We removed all electrodes from further analysis that showed a significant difference (\( p < 0.05 \); ANOVA) between the three conditions. After removing trials effected by baseline changes with this method, we compared the stimulus response (AUC) of preGS and postGS trials in the 500ms post stimulus window using a t-test. The p-value threshold was calculated based on the False Discovery Rate (FDR). To calculate the FDR, we performed a random permutation test. We shuffled the labels of the Stimulus conditions randomly for 1000 iterations and computed the t-test p-value for each. Next, we calculated for a range of p-value-thresholds (0.001, 0.002, ..., 0.05), how many of the 1000 tests were deemed significant under the selected accepted rate of false discovery (\( p < 0.01 \)). We selected the last p-value that resulted in less than 1% of the t-test showing significance (FDR = 0.015).

Phase-Locking to theta-oscillation
All neurons with a firing rate above 0.5Hz were tested for phase-locking to theta range (3-8Hz). The unfiltered LFP was transformed using the complex Morlet wavelet (34) using 28 scales logarithmically spaced between \( 2^7 \) and \( 2^8 \) in Frequencies ranging from 1.7 - 181 Hz. The wavelet was applied with a bandwidth of 4 and a center frequency of 1. The angles of the resulting complex values associate every time point of the recording with a specific phase at a specific frequency. To test if a given neuron was phase-locked, phase-values of all spikes were tested on non-uniformity using a Rayleigh-test. A neuron was assigned as phase-locked if for any of the frequencies between 3-8 Hz a p-value showed significance (\( p < 0.0083; \) Bonferroni corrected, Z-statistic) was reached.

Spike Field Coherence
All theta-locked neurons were included in the analysis for Spike Field Coherence (SFC). SFC is a function of frequency \( \omega \) and the number of spikes and indicates the strength of a neuron’s preference to fire at a specific phase \( \rho \) of a particular frequency \( \omega \). Before we computed the SFC, we subsampled the spikes from all the repetitions of one image within a condition, so that each stimulus condition had the same number of spikes. Additionally, we calculated the firing rate during the stimulus presentations of all images and discarded identical that had less than 2 spikes in the combined presentations of their repetitions within one condition. We discarded all neurons that met any of the following 2 criteria: (1) if they had a firing rate below 0.5Hz and (2) had less than 5 images with sufficient spikes under the criteria above. The SFC was then computed for each neuron separately as the average of the SFC of each image, using the spikes across all its repetitions. First, the Spike Triggered Average (STA) of the LFP was calculated for 480 ms before to 480 ms after the spike time. This time window was chosen as we are interested in the slow theta oscillations. As a next step we calculated the frequency spectrum of the STA (ISTA) and the Spike Triggered Power (STP), which is the average power spectrum of all the LFP traces used to calculate the STA. The ISTA is the average power spectrum around the spike, whereas the STP is the average power present in the LFP used to construct the STA. The SFC is the ratio between the two, formally:
\[ SFC(\omega) = \left[ \frac{fSTA(\omega)}{ST F(\omega)} \right] \times 100\% \]

for a specific frequency \( \omega \). We calculated the average SFC of a specific neuron for each condition separately.

**Scatter Plots, permutation tests**

To see how separate neurons react to different stimulus conditions, we plotted each neuron on a scatter plot where each of the axes is the average SFC in a specific condition. If a neuron was indifferent to conditions, it was expected to lie close to the mid-line. We were interested in what proportion of the neurons showed a preference in SFC for one of the conditions. Permutation tests gave an approximation of the chance of the observed effect appearing randomly. First, we calculated the ratio between points above the mid-line to points below the mid-line. We took the number of neurons used to create the scatter plot and simulated for 1000 iterations a new distribution of ratios between points above and below mid-line, by randomly assigning a neuron to the condition above or below.

\[ r = \frac{n_{\text{above}} - n_{\text{below}}}{n_{\text{total}}} \]

The \( p \)-value of the original ratio was calculated as the portion of simulated ratios that were more extreme.

\[ p(r) = \begin{cases} \frac{n^*_r}{n} & \text{for } r > 0 \\ \frac{n^*_e}{n} & \text{for } r < 0 \end{cases} \]

where \( n \) is the number of iterations and \([::]#\) indicates the number of cases for which the expression inside the brackets is true. This gives the approximate probability to find the observed ratio by chance.

**REFERENCES**


24. Rutishauser, U., Ross, I. B., Mamela, A. N. & Schuman, E. M. Human memory strength is predicted by theta-


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Supplemental Material 1 Phase-locking separated by region
Number of neurons that show significant phase-locking by the Raighley-test of non-uniformity (p < 0.0083, Z-statistic, Bonferroni corrected for multiple comparisons) for each region separately.